kmos Documentation

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kmos is a vigorous attempt to make (lattice) kMC modelling more accessible.
kmos is designed for and by kMC model developers. As of this writing there is no standardized way to develop kMC models, thus there is no standardized way to use kmos. kmos can be an Editor, an API, a viewer. However all in all kmos wants to save time filled with repetitive labor and enlarge your stride.

Not sure how to begin? Start with the API tutorial.
1.1 Installation

1.1.1 Introduction

Feature overview

With kmos you can:

- easily create and modify kMC models through GUI
- store and exchange kMC models through XML
- generate fast, platform independent, self-contained code
- run kMC models through GUI or python bindings

kmos has been developed in the context of first-principles based modelling of surface chemical reactions but might be of help for other types of kMC models as well.

kmos’ goal is to significantly reduce the time you need to implement and run a lattice kmc simulation. However it can not help you plan the model.

kmos can be invoked directly from the command line in one of the following ways:

```
kmos [help] (all|benchmark|build|edit|export|help|import|rebuild|run|settings--
→export|shell|version|view|xml) [options]
```

or it may be used as an API via the *kmos* module.

1.1.2 Installation on Ubuntu Linux

You can fetch the current version of kmos using *git*

---

1 The source code is generated in Fortran90, written in a modular fashion. Python bindings are generated using *f2py*. 

and install it using *setuptools*

```bash
./setup.py install [--user]
```

or if you have *pip* run

```bash
pip install python-kmos --upgrade [--user]
```

To use the core functionality (programmatic model setup, code generation, model execution) kmos has a fairly modest dependency footprint. You will need

- python-numpy, a Fortran compiler, python-lxml

In order to watch the model run on screen you will additionally need

- python-matplotlib, python-ase

Finally in order to use all features, in particular the GUI model editor of kmos you have to install a number of dependencies. This should not be very difficult on a recent Linux distribution with package management. So on Ubuntu it suffices to call:

```bash
sudo apt-get install gazpacho gfortran python-dev \
  python-glade2 python-kiwi python-lxml \
  python-matplotlib python-numpy \
  python-pygoocanvas
```

and if you haven’t already installed it, one way to fetch the atomic simulation environment (ASE) is currently to

```bash
sudo add-apt-repository ppa:campos-dev/campos
sudo apt-get update
sudo apt-get install python-ase
```

Or go to their [website](https://www.ase.md) to fetch the latest version.

Unfortunately Debian/Ubuntu have discontinued maintaining the gazpacho package which I find very unfortunate since it eased gtk GUI building a lot and I haven’t found a simple transition path (simple as in one reliable conversion script and two changed import lines) towards gtkbuilder. Therefore for the moment I can only suggest to fetch the latest old package from e.g. [here](https://github.com/mhoffman/kmos/tree/master/linux) and install it manually with

```bash
sudo dpkg -i gazpacho_*.deb
```

If you think this dependency list hurts. Yes, it does! And I am happy about any suggestions how to minimize it. However one should note these dependencies are only required for the model development. Running a model has virtually no dependencies except for a Fortran compiler.

To ease the installation further on Ubuntu one can simply run:

```bash
kmos-install-dependencies-ubuntu
```

### 1.1.3 Installation on openSUSE 12.1 Linux

On a recent openSUSE some dependencies are distributed a little different but nevertheless doable. We start by install some package from the repositories
And two more packages SUSE packages have to be fetched from the openSUSE *build service*

- **gazpacho**
- **python-kiwi**

For each one just download the *.tar.bz2 files. Unpack them and inside run

```bash
python setup.py install
```

In the same vein you can install ASE. Download a recent version from the [GitLab website](https://gitlab.com) unzip it and install it with

```bash
python setup.py install
```

## 1.1.4 Installation on openSUSE 13.1 Linux

In order to use the editor GUI you will want to install python-kiwi (not KIWI) and right now you can find a recent build [here](https://gitlab.com).

## 1.1.5 Installation on Mac OS X 10.10 or above

There is more than one way to get required dependencies. I have tested MacPorts and worked quite well.

1. **Get MacPorts** Search for MacPorts online, you’ll need to install Xcode in the process
2. Install Python, lxml, numpy, ipython, ASE, gcc48. I assume you are using Python 2.7. kmos has not been thoroughly tested with Python 3.X, yet, but should not be too hard.

   Having MacPorts this can be as simple as

   ```bash
   sudo port install -v py27-ipython
   sudo port select --set ipython py27-ipython
   
   sudo port install gcc48
   sudo port select --set gcc mp-gcc48 # need to that f2py finds a compiler
   
   sudo port install py27-readline
   sudo port install py27-goocanvas
   sudo port install py27-lxml
   sudo port install kiwi
   # possibly more ...
   
   # if you install these package manually, skip pip :-)  
   sudo port install py27-pip
   sudo port select --set pip pip27
   
   pip install python-ase --user
   pip install python-kmos --user
   ```

## 1.1.6 Installation on windoze 7

In order for kmos to work in a recent windoze we need a number of programs.
1. **Python** If you have no python previously installed you should try Enthought Python Distribution (EPD) in its free version since it already comes with a number of useful libraries such as numpy, scipy, ipython and matplotlib. Otherwise you can simply download Python from python.org and this installation has been successfully tested using python 2.7.

2. **numpy** Fetch it for your version of python from sourceforge’s Numpy site and install it. [Not needed with EPD ]

3. **MinGW** provides free Fortran and C compilers and can be obtained from the sourceforge’s MinGW site . Make sure you make a tick for the Fortran and the C compiler.

4. **pyGTK** is needed for the GUI frontend so fetch the all-in-one bundle installer and install most of it.

5. **lxml** is an awesome library to process xml files, which has unfortunately not fully found its way into the standard library. As of this writing the latest version with prebuilt binaries is lxml 2.2.8 and installation works without troubles.

6. **ASE** is needed for the representation of atoms in the frontend. So download the latest from the GitLab website and install it. This has to be installed using e.g. the powershell. So after unpacking it, fire up the powershell, cd to the directory and run

   ```
   python setup.py install
   ```

   in there. Note that there is currently a slight glitch in the setup.py script on windoze, so open setup.py in a text editor and find the line saying

   ```
   version = ...
   ```

   comment out the lines above it and hard-code the current version number.

7. **kmos** is finally what we are after, so download the latest version from github and install it in the same way as you installed ASE.

   There are probably a number of small changes you have to make which are not described in this document. Please post questions and comments in the issues forum .

### 1.1.7 Installing JANAF Thermochemical Tables

You can conveniently use gas phase chemical potentials inserted in rate constant expressions using JANAF Thermochemical Tables. A couple of molecules are automatically supported. If you need support for more gas-phase species, drop me a line.

The tabulated values are not distributed since the terms of distribution do not permit this. Fortunately manual installation is easy. Just create a directory called janaf_data anywhere on your python path. To see the directories on your python path run

   ```
   python -c"import sys; print(sys.path)"
   ```

   Inside the janaf_data directory has to be a file named __init__.py, so that python recognizes it as a module

   ```
   touch __init__.py
   ```

   Then copy all needed data files from the NIST website in the tab-delimited text format to the janaf_data directory. To download the ASCII file, search for your molecule. In the results page click on ‘view’ under ‘JANAF Table’ and click on ‘Download table in tab-delimited text format.’ at the bottom of that page.
Todo: test installation on other platforms

1.2 Screenshots

1.2.1 The Editor

Fig. 1.1: The lattice view allows to define sites by simple pointing.
Fig. 1.2: Model parameters can be defined including ranges to vary them over in the runtime viewer.
Fig. 1.3: Species can be added here. The color is used to represent them in the 2D editor view. The string is an ASE atoms constructor for display at runtime.

1.2. Screenshots
Fig. 1.4: Processes can be added by point and click or by entering a chemical expression.
1.2.2 The Runtime View

Fig. 1.5: The compiled module can be run and watched in realtime. When parameters are changed this is immediately reflected in the rate constants.

1.3 A first kMC Model–the API way

In general there are two interfaces to defining a new model: A GUI and an API. While the GUI can be quite nice especially for beginners, it turns out that the API is better maintained simply because . . . well, maintaining a GUI is a lot more work.

So we will start by learning how to setup the model using the API which will turn out not to be hard at all. It is
knowing how to do this will also pay-off especially if you starting tinkering with your existing models and make little changes here and there.

### 1.3.1 Construct the model

We start by making the necessary import statements (in *python* or better *ipython*):

```python
from kmos.types import *
from kmos.io import *
import numpy as np
```

which imports all classes that make up a kMC project. The functions from *kmos.io* will only be needed at the end to save the project or to export compilable code.

The example sketched out here leads you to a kMC model for CO adsorption and desorption on Pd(100) including a simple lateral interaction. Granted this hardly excites surface scientists but we need to start somewhere, right?

First you should instantiate a new project and fill in meta information

```python
pt = Project()
pt.set_meta(author = 'Your Name',
   email = 'your.name@server.com',
   model_name = 'MyFirstModel',
   model_dimension = 2,)
```

Next you add some species or states. Note that whichever species you add first is the default species with which all sites in the system will be initialized. Of course this can be changed later

For surface science simulations it is useful to define an empty state, so we add

```python
pt.add_species(name='empty')
```

and some surface species. Given you want to simulate CO adsorption and desorption on a single crystal surface you would say

```python
pt.add_species(name='CO',
   representation="Atoms('CO',[[0,0,0],[0,0,1.2]])")
```

where the string passed as *representation* is a string representing a CO molecule which can be evaluated in ASE namespace.

Once you have all species declared is a good time to think about the geometry. To keep it simple we will stick with a simple-cubic lattice in 2D which could for example represent the (100) surface of a fcc crystal with only one adsorption site per unit cell. You start by giving your layer a name

```python
layer = pt.add_layer(name='simple_cubic')
```

and adding a site

```python
layer.sites.append(Site(name='hollow', pos='0.5 0.5 0.5',
   default_species='empty'))
```

Where *pos* is given in fractional coordinates, so this site will be in the center of the unit cell.

Simple, huh? Now you wonder where all the rest of the geometry went? For a simple reason: the geometric location of a site is meaningless from a KMC point of view. In order to solve the master equation none of the numerical coordinates of any lattice sites matter since the master equation is only defined in terms of states and transition between these.
However to allow a graphical representation of the simulation one can add geometry as you have already done for the site. You set the size of the unit cell via

```python
pt.lattice.cell = np.diag([3.5, 3.5, 10])
```

which are prototypical dimensions for a single-crystal surface in Angstrom.

Ok, let us see what we managed so far: you have a lattice with a site that can be either empty or occupied with CO.

### 1.3.2 Populate process list and parameter list

The remaining work is to populate the process list and the parameter list. The parameter list defines the parameters that can be used in the expressions of the rate constants. In principle one could do without the parameter list and simply hard code all parameters in the process list, however one looses some nifty functionality like easily changing parameters on-the-fly or even interactively.

A second benefit is that you achieve a clear separation of the kinetic model from the barrier input, which usually has a different origin.

In practice filling the parameter list and the process list is often an iterative process, however since we have a fairly short list, we can try to set all parameters at once.

First of all you want to define the external parameters to which our model is coupled. Here we use the temperature and the CO partial pressure:

```python
pt.add_parameter(name='T', value=600., adjustable=True, min=400, max=800)
pt.add_parameter(name='p_CO', value=1., adjustable=True, min=1e-10, max=1.e2)
```

You can also set a default value and a minimum and maximum value set defines how the scrollbars a behave later in the runtime GUI.

To describe the adsorption rate constant you will need the area of the unit cell:

```python
pt.add_parameter(name='A', value='(3.5*angstrom)**2')
```

Last but not least you need a binding energy of the particle on the surface. Since without further ado we have no value for the gas phase chemical potential, we’ll just call it deltaG and keep it adjustable

```python
pt.add_parameter(name='deltaG', value='-0.5', adjustable=True, min=-1.3, max=0.3)
```

To define processes we first need a coordinate

```python
coord = pt.lattice.generate_coord('hollow.(0,0,0).simple_cubic')
```

Then you need to have at least two processes. A process or elementary step in kMC means that a certain local configuration must be given so that something can happen at a certain rate constant. In the framework here this is phrased in terms of ‘conditions’ and ‘actions’. So for example an adsorption requires at least one site to be empty (condition). Then this site can be occupied by CO (action) with a rate constant. Written down in code this looks as follows

```python
pt.add_process(name='CO_adsorption',
               conditions=[Condition(coord=coord, species='empty')],
               actions=[Action(coord=coord, species='CO')],
               rate_constant='p_CO*bar*A/sqrt(2*pi*umass*m_CO/beta)')
```

---

3 The description of coordinates follows the simple syntax of the coordinate syntax and the topic guide explains how that works.

2 You will have to describe all processes in terms of conditions and actions and you find a more complete description in the topic guide to the process description syntax.

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Note: In order to ensure correct functioning of the kmos kMC solver every action should have a corresponding condition for the same coordinate.

Now you might wonder, how come we can simply use m_CO and beta and such. Well, that is because the evaluator will to some trickery to resolve such terms. So beta will be first be translated into $1/(k_{\text{boltzmann}} \cdot T)$ and as long as you have set a parameter $T$ before, this will go through. Same is true for m_CO, here the atomic masses are looked up and added. Note that we need conversion factors of bar and umass.

Then the desorption process is almost the same, except the reverse:

```python
pt.add_process(name='CO_desorption',
               conditions=[Condition(coord=coord, species='CO')],
               actions=[Action(coord=coord, species='empty')],
               rate_constant='p_CO*bar*A/sqrt(2*pi*umass*m_CO/
                           \rightarrow beta)*exp(beta*deltaG*eV)')
```

To reduce typing, kmos also knows a shorthand notation for processes. In order to produce the same process you could also type

```python
pt.parse_process('CO_desorption; CO@hollow->empty@hollow ; p_CO*bar*A/
                    \rightarrow sqrt(2*pi*umass*m_CO/beta)*exp(beta*deltaG*eV)')
```

and since any non-existing on either the left or the right side of the -> symbol is replaced by a corresponding term with the default_species (in this case empty) you could as well type

```python
pt.parse_process('CO_desorption; CO@hollow->; p_CO*bar*A/sqrt(2*pi*umass*m_CO/
                    \rightarrow beta)*exp(beta*deltaG*eV)')
```

and to make it even shorter you can parse and add the process on one line

```python
pt.parse_and_add_process('CO_desorption; CO@hollow->; p_CO*bar*A/sqrt(2*pi*umass*m_CO/
                        \rightarrow beta)*exp(beta*deltaG*eV)')
```

In order to add processes on more than one site possible spanning across unit cells, there is a shorthand as well. The full-fledged syntax for each coordinate is

"<site-name>.<offset>.<lattice>"

check Manual generation for details.

### 1.3.3 Export, save, compile

Next, it’s a good idea to save your work

```python
pt.filename = 'myfirst_kmc.xml'
pt.save()
```

Now is the time to leave the python shell. In the current directory you should see a myfirst_kmc.xml. This XML file contains the full definition of your model and you can create the source code and binaries in just one line. So on the command line in the same directory as the XML file you run

```
kmos export myfirst_kmc.xml
```
or alternatively if you are still on the ipython shell and don’t like to quit it you can use the API hook of the command line interface like

```python
import kmos.cli
kmos.cli.main('export myfirst_kmc.xml')
```

Make sure this finishes gracefully without any line containing an error.

If you now `cd` to that folder `myfirst_kmc` and run:

```
kmov view
```

... and dada! Your first running kMC model right there!

If you wonder why the CO molecules are basically just dangling there in mid-air that is because you have no background setup, yet. Choose a transition metal of your choice and add it to the lattice setup for extra credit :-).

Wondering where to go from here? If the work-flow makes complete sense, you have a specific model in mind, and just need some more idioms to implement it I suggest you take a look at the examples folder. for some hints. To learn more about the kmos approach and methods you should into topic guides.

### 1.3.4 Taking it home

Despite its simplicity you have now seen all elements needed to implement a kMC model and hopefully gotten a first feeling for the workflow.

---

**Todo:** describe modelling more complicated structures and e.g. boundary conditions

### 1.4 Running the Model—the GUI way

After successfully exporting and compiling a model you get two files: kmc_model.so and kmc_settings.py. These two files are really all you need for simulations. So a simple way to view the model is the

```
kmov view
```

command from the command line. For this two work you need to be in the same directory as these two file (more precisely these two files need to be in the python import path) and you should see an instance of your model running. This feature can be quite useful to quickly obtain an intuitive understanding of the model at hand. A lot of settings can be changed through the kmc_settings.py such as rate constant or parameters. To be even more interactive you can set a parameter to be adjustable. This can happen either in the generating XML file or directly in the kmc_settings.py. Also make sure to set sensible minimum and maximum values.

### 1.5 How To Prepare a Model and Run It Interactively

If you want to prepare a model in a certain way (parameters, size, configuration) and then run it interactively from there, there is in easy way, too. Just write a little python script. The with-statement is nice because it takes care of the correct allocation and deallocation

```bash
#!/usr/bin/env python
from kmos.run import KMC_Model
```

---

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from kmos.view import main

with KMC_Model(print_rates=False, banner=False) as model:
    model.settings.simulation_size = 5

with KMC_Model(print_rates=False, banner=False) as model:
    model.do_steps(int(1e7))
    model.double()
    model.double()
    # one or more changes to the model
    # ...
    main(model)

Or you can use the hook in the kmc_settings.py called setup_model. This function will be invoked at startup every time you call

kmos view, run, or benchmark

Though it can easily get overwritten, when exporting or rebuilding. To minimize this risk, you e.g. place the setup_model function in a separate file called setup_model.py and insert into kmc_settings.py

from setup_model import setup_model

Next time you overwrite kmc_settings.py you just need to add this line again.

1.6 Running the Model—the API way

In order to analyze a model in quantitatively it is more practical to write small client scripts that directly talk to the runtime API. As time passes and more of these scripts are written over and over some standard functionality will likely be integrated into the runtime API. For starters a simple script could look as follows

#!/usr/bin/env python

from kmos.run import KMC_Model

model = KMC_Model()

An alternative way that gets you started fast it to run

kmos shell

and just interact directly with model. It is often a good idea to

%logstart some_scriptname.py

first in the IPython command to save what you have typed for later use.

As you can see by default the model prints a disclaimer and all rate constants, which can each be turned off by instantiating

model = KMC_Model(print_rates=False, banner=False)

The most important method is of course how to run the model, which you can do by saying
model.do_steps(100000)

which would run the model by 100,000 kMC steps.

Let’s say you want to change the temperature and a partial pressure of the model you could type

```python
model.parameters.T = 550
model.parameters.p_COgas = 0.5
```

and all rate constants are instantly updated. In order get a quick overview of the current settings you can issue e.g.

```python
print(model.parameters)
print(model.rate_constants)
```

or just

```python
print(model)
```

Now an instantiated and configured model has mainly two functions: run kMC steps and report its current configuration.

To analyze the current state you may use

```python
atoms = model.get_atoms()
```

**Note:** If you want to fetch data from the current state without actually visualizing the geometry can speed up the `get_atoms()` call using

```python
atoms = model.get_atoms(geometry=False)
```

This will return an ASE atoms object of the current system, but it also contains some additional data piggy-backed such as

```python
model.get_occupation_header()
atoms.occupation

model.get_tof_header()
atoms.tof_data

atoms.kmc_time
atoms.kmc_step
```

These quantities are often sufficient when running and simulating a catalyst surface, but of course the model could be expanded to more observables. The Fortran modules `base`, `lattice`, and `proclist` are attributes of the model instance so, please feel free to explore the model instance e.g. using ipython and

```python
model.base.<TAB>
model.lattice.<TAB>
model.proclist.<TAB>
```

etc..

The `occupation` is a 2-dimensional array which contains the `occupation` for each surface site divided by the number of unit cell. The first slot denotes the species and the second slot denotes the surface site, i.e.
occupation = model.get_atoms().occupation
occupation[species, site-1]

So given there is a hydrogen species in the model, the occupation of hydrogen across all site type can be accessed like

hydrogen_occupation = occupation[model.proclist.hydrogen]

To access the coverage of one surface site, we have to remember to subtract 1, when using the the builtin constants, like so

hollow_occupation = occupation[:, model.lattice.hollow-1]

Lastly it is important to call

model.deallocate()

once the simulation if finished as this frees the memory allocated by the Fortan modules. This is particularly necessary if you want to run more than one simulation in one script.

1.7 Generate Grids of Sampled Data

For some kMC applications you simply require a large number of data points across a set of external parameters (phase diagrams, microkinetic models). For this case there is a convenient class ModelRunner to work with

```python
from kmos.run import ModelRunner, PressureParameter, TemperatureParameter

class ScanKinetics(ModelRunner):
    p_O2gas = PressureParameter(1)
    T = TemperatureParameter(600)
    p_COgas = PressureParameter(min=1, max=10, steps=40)

ScanKinetics().run(init_steps=1e8, sample_steps=1e8, cores=4)
```

This script generates data points over the specified range(s). The temperature parameters is uniform grids over 1/T and the pressure parameters is uniform over log(p). The script can be run synchronously over many cores as long as the cores can access the same file system. You have to test whether the steps before sampling (init_steps) as well as the batch size (sample_steps) is sufficient.

1.8 Manipulating the Model at Runtime

It is quite easy to change not only model parameters but also the configuration at runtime. For instance if one would like to prepare a surface with a certain configuration or pattern.

Given you instantiated a model instance a site occupation can be changed by calling

```python
model.put(site=[x,y,z,n], model.proclist.<species>)
```

However if changing many sites at once this is quite inefficient, since each put call, adjusts the book-keeping database. To circumvent this you can use the _put method, like so
though at the end one must not forget to call \_adjust\_database() before executing any next step or the database of available processes is inaccurate and the model instance will crash soon.

You can also get or set the whole configuration of the lattice at once using

```python
config = model\_get\_configuration()
# possible change config
model\_set\_configuration(config)
```

## 1.9 Running models in parallel

Due to the global clock in kMC there seems to be no simple and efficient way to parallelize a kMC program. kmos certainly cannot parallelize a single system over processors. However one can run several kmos instances in parallel which might accelerate sampling or efficiently check for steady state conditions.

However in many applications it is still useful to run several models seperately at once, for example to scan some set of parameters one a multicore computer. This kind of problem can be considered *embarrassingly parallel* since it requires no communication between the runs.

This is made very simple through the *multiprocessing* module, which is in the Python standard library since version 2.6. For older versions this needs to be downloaded <http://pypi.python.org/pypi/multiprocessing/> and installed manually. The latter is pretty straightforward.

Then besides *kmos* we need to import *multiprocessing*

```python
from multiprocessing import Process
from numpy import linspace
from kmos.run import KMC\_Model
```

and let’s say you wanted to scan a range of temperature, while keeping all other parameteres constant. You first define a function, that takes a set of temperatures and runs the simulation for each

```python
def run\_temperatures(temperatures):
    for T in temperatures:
        model = KMC\_Model()
        model\_parameters\_T = T
        model\_do\_steps(100000)

        # do some evaluation

        model\_deallocate()
```

In order to split our full range of input parameters, we can use a utility function

```python
from kmos\_utils import split\_sequence
```

All that is left to do, is to define the input parameters, split the list and start subprocesses for each sublist

```python
if __name__ == '__main__':
    temperatures = linspace(300, 600, 50)
    nproc = 8
```

### 1.9. Running models in parallel
for temperatures in split_sequence(temperatures, nproc):
    p = Process(target=run_temperatures, args=(temperatures, ))
    p.start()

1.10 Development

Contributions of any sort are of course quite welcome. Patches and comments are ideally sent in form of email, pull request, or github issues.

To make synergizing a most pleasing experience I suggest you use git, nose, pep8, and pylint

```
sudo apt-get install git python-nose pep8 pylint
```

When sending a patch please make sure the nose tests pass, i.e. run from the top project directory

```
nosetests
```

To make testing and comparison even easier it would be helpful if you create an account with Travis CI and run your commits through the test suite.

Have a look at Google’s Python style guide as far as style questions go.
The conceptual parts of this topic guide predate the kmos paper (arXiv). Please refer to the paper for a thorough background on kMC and lattice kMC on crystal surfaces. The more technical parts stated below might still be useful for using kmos.

2.1 The Concept of Kinetic Monte Carlo

2.1.1 Why use Kinetic Monte Carlo?

There is a class of systems in nature for which the spatiotemporal evolution can be described using a master type of equation. While chemical reactions at surfaces is one of them, it is not limited to those.

The master equation imposes that given a probability distribution $\rho_i(t)$ over states, the probability distribution at one infinitesimal time $\Delta t$ later can be obtained from

$$\rho_i(t + \Delta t) = \rho_i(t) + \sum_j -k_{ij}\rho_i(t)dt + k_{ij}\rho_j(t)dt$$

where the important bit is that each $\rho(t)$ only depends on the state just before the current state. The matrix $k_{ij}$ consists of constant real entries, which describe the rate at which the system can propagate from state $j$ to state $i$. In other words the system is without memory which is usually known as the Markov approximation.

Kinetic Monte Carlo (kMC) integrates this equation by generating a state-to-state trajectory using a preset catalog of transitions or elementary steps and a rate constant for each elementary step. The reason to generate state-to-state trajectories rather than just propagating the entire probability distribution at once is that the transition matrix $k_{ij}$ easily becomes too large for many systems at hand that even storing it would be too large for any storage device in foreseeable future.

As a quick estimate consider a system with 100 sites and 3 possible states for each site, thus having $3^{100}$ different configurations. The matrix to store all transition elements would have $(3^{100})^2 \approx 2.66 \times 10^{95}$ entries, which exceeds the number of atoms on our planet by roughly 45 orders of magnitude.\(^1\) And even though most of these elements would

\(^1\) Wolfram Alpha’s estimate for number of atoms on earth.
be zero since the number of accessible states is usually a lot smaller, there seems to be no simple way to transform to and solve this irreducible matrix in the general case.

Thus it is a lot more feasible to take one particular configuration and figure out the next process as well as the time it takes to get there and obtain ensemble averages from time averages taken over a sufficiently long trajectory. The basic steps can be described as follows

### 2.1.2 Basic Kinetic Carlo Algorithm

- **Fix rate constants** \( k_{ij} \) initial state \( x_i \), and initial time \( t \)
- while \( t < t_{\text{max}} \) do
  1. draw random numbers \( R_1, R_2 \in [0, 1] \)
  2. find \( l \) such that \( \sum_{j=1}^l k_{ij} < k_{i,\text{tot}} R_1 < \sum_{j=1}^{l+1} k_{ij} \)
  3. increment time \( t \to t - \frac{\ln(R_2)}{k_{i,\text{tot}}} \)
end

### 2.1.3 Justification of the Algorithm

Let’s understand why this simulates a physical process. The Markov approximation mentioned above implies several things: not only does it mean one can determine the next process from the current state. It also implies that all processes happen independently of one another because any memory of the system is erased after each step. Another great simplification is that rate constants simply add to a total rate, which is sometimes referred to as Matthiessen’s rule, viz the rate with which any process occurs is simply \( \sum_i k_i \).

First, one can show that the probability that \( n \) such processes occur in a time interval \( t \) is given by a Poisson distribution\(^2\)

\[
P(n, t) = \frac{e^{-k_{\text{tot}} t} (k_{\text{tot}} t)^n}{n!}.
\]

The waiting time or escape time \( t_w \) between two such processes is characterized by the probability that zero such processes have occurred

\[
P(0, t_w) = e^{-k_{\text{tot}} t_w},
\]

which, as expected, leads to an average waiting time of

\[
\langle t_w \rangle = \frac{\int_0^\infty dt_w \, t_w \, e^{-k_{\text{tot}} t_w}}{\int_0^\infty dt_w \, e^{-k_{\text{tot}} t_w}} = \frac{1}{k_{\text{tot}}}.
\]

Therefore at every step, we need to advance the time by a random number that is distributed according to (2.1). One can obtain such a random number from a uniformly distributed random number \( R_2 \in [0, 1] \) via \(-\ln(R_2)/k_{\text{tot}}\).\(^3\)

Second, we need to select the next process. The next process occurs randomly but if we did this a very large number of times for the same initial state the number of times each process is chosen should be proportional to its rate constant. Experimentally one could achieve this by randomly sprinkling sand over an arrangement of buckets, where the size of the bucket is proportional to the rate constant and count each hit by a grain of sand in a bucket as one executed process. Computationally the same is achieved by steps 2 and 3.


2.1.4 Further Reading

For a very practical introduction I recommend Arthur Voter’s tutorial\textsuperscript{4} and Fichthorn\textsuperscript{5} for a derivation, why $\Delta t$ is chosen they way it is. The example given there is also an excellent exercise for any beginning kMC modeler. For recent review on implementation techniques I recommend the review by Reese et al.\textsuperscript{6} and for a review over status and outlook I recommend the one by Reuter\textsuperscript{7}.

2.2 Modelling Workflows

At the core of modelling lies the art to capture the most important features of a system and leave all others out. kmos is designed around the fact that modelling is a creative and iterative process.

A typical type of approach for modelling could be:

1. start with educated guess
2. calculate outcome
3. compare various observables and qualitative behavior with reference system
4. adapt model, goto 2. or publish model

So while this procedure is quite generic it may help to illustrate that the chances to find and capture the relevant features of a system are enhanced if the trial/learn loop is as short as possible.

2.2.1 kMC Modeling

A good way to define a model is to use a paper and pencil to draw your lattice, choose the species that you will need, draw each process and write down an expression for each rate constant, and finally fix all energy barriers and external parameters that you will need. Putting a model prepared like this into a computer is a simple exercise. You enter a new model by filling in

- meta information
- lattice
- species
- parameters
- processes

in roughly this order or open an existing one by opening a kMC XML file.

If you want to see the model run \texttt{kmos export <xml-file>} and you will get a subfolder with a self-contained Fortran90 code, which solves the model. If all necessary dependencies are installed you can simply run \texttt{kmos view} in the export folder.

---


\textsuperscript{7} Reuter, Karsten. “First-principles Kinetic Monte Carlo Simulations for Heterogeneous Catalysis: Concepts, Status and Frontiers”. Wiley-VCH, 2009. \url{link}
2.2.2 kmos workflows

Since kmos has several entry points, there are several ways of using it. This section will outline different ways of using kmos:

- **the render script**
  Just write complete scripts as outlined in ./tutorials/first_model_api. Export source from there or inspect XML file with one of the next methods below.

- **the GUI editor**
  Open an existing project *.xml file with
  
  ```
  kmos edit <project_name>.xml
  ```

  and inspect or edit it through on screen

- **the CLI editor**
  Open an existing project *.xml file with
  
  ```
  kmos import <project_name>.xml
  ```

  and edit the project interactively on the ipython console.

- **edit the XML file**
  Just open the XML file of your kmos project with a text editor of your choice and inspect or your model right there. This might only be a last resort to figure out what is going on. XML is often not considered very readable and note that changing variable names in one place might often break inconsistencies in other.

2.3 The kmos data model

The guide explains how kmos handles represent a kmc model internally, which is important to know if one wants to write new functionality.

The different functions and front-ends of kmos all interact in some way or another with instances of the Project class. A Project instance is a representation of a kmc model. If you fire up ‘kmos edit’ with an xml file, kmos validates the XML file and stores the content in a Project instance. If you export source code, kmos runs over the Project and creates the necessary Fortran 90 source code.

So the following things are in a Project:

- **meta**
- **lattice(layers)**
- **species**
- **parameters**
- **processes**

The language used here stems from modelling atomic movement on a fixed or evolving lattice like structure. In a more general context one may rephrase them as:

- **meta** -> information about project
- **lattice** -> geometry
- **species** -> states
• parameters
• processes -> transitions

2.4 How the kmos kMC algorithm works

kmos asks you to describe your model to the processor in seemingly arcane ways. It can save model descriptions in XML but they are basically unreadable and a pain to edit. The API has some glitches and is probably incomplete: so why learn it?

Because it is fast (in two ways).

The code it produces is commonly faster than naive implementations of the kMC method. Most straightforward implementations of kMC take a time proportional to 2*N per kMC step, where N is the number of sites in the system. However the code that kmos produces is O(1) until the RAM of your system is exceeded. As benchmarks have shown this may happen when 100,000 or more sites are required. However tests have also shown that kmos can be faster than O(N) implementations from around 60-100 sites. If you have different experiences please let me know but I think this gives some rule of thumb.

Why is it faster? Straightforward implementations of kMC scan the entire system twice per kMC step. First to determine the total rate, then to determine the next process to be executed. The present implementation does not. kmos keeps a database of available processes which allow to quickly pick the next process. It also updates the database of available processes which cost additional overhead. However this overhead is independent of the system’s size and only scales with the degree of interaction between sites, which is seems hard to define in general terms.

The second way reason why it is fast is because you can formulate processes in a intuitive fashion and let kmos figure how to make fast running code out of it. So we save in human time and CPU time, which is essentially human time as well. Yay!

To illustrate just how fast the algorithm is the graph below shows the CPU time needed to simulate 1 million kMC steps on a simple cubic lattice in 2 dimension with two reacting species and without lateral interaction. As this shows the CPU time spent per kMC step as nearly constant for up nearly 10^5 sites.

2.4.1 The kmos O(1) solver

So what makes the kMC solver so furiously fast? The underlying data structure is shown in the picture above. The most important part is that the solver never scans the entire system for available processes except at program initialization.

Please have a look at the sketch of data structures above. Given that all arrays are initialized and populated, in each kMC step the following things happen:

In the first step we need to identify the next process and site. To do so we draw a random number $R_1 \in [0, 1]$. This number has to be scaled to $k_{tot}$, so we multiply it with the last field in accum. rates. Next we simply perform a binary search for the right process on accum. rates. Having determined the process, we pick a site using a second random number $R_2$, which is constant in time since avail sites is filled up with the available site for each process from the left.

Totally independent of this we calculate the duration of the current step with another random number $R_3$ using

$$\Delta t = \frac{-\log(R_3)}{k_{tot}}$$

So, while the determination of process and site is extremely straightforward, the CPU intensive part just starts now. The proclist module is written in such a way, for each elementary step it updates the avail sites array only in the local neighborhood of the site, where the process is executed. It is furthermore heuristically optimized in order to require only a minimal number of if-statement to figure out which database updates are necessary. This will be explained in greater detail in the next subsection.

For the current description it is sufficient to know that for all database updates by the proclist module:

2.4. How the kmos kMC algorithm works
Fig. 2.1: Benchmark for a simple surface reaction model. All simulations have been performed on a single CPU of Intel I7-2600K with 3.40 GHz clock speed.
2.4. How the kmos kMC algorithm works

Fig. 2.2: The data model underlying the kmos solver. The central component is the *avail_sites* array which stores for each elementary step the sites for which it is executable. Secondly it stores the location in memory, where the availability of the site is stored for direct access. The array of *rate constants* holds the numeric rate constant and only changes, when a physical parameter is changed. The *nr of sites* array holds the total number of sites for each process and needs to be updated whenever a process becomes available and unavailable. The *accum. rates* has to be updated once per kMC step and holds the accumulated rate constant for each processes. That is, the last field of accum. rates holds $k_{\text{tot}}$, the total rate of the system.
• the *nr of sites* array is updated as well.

• adding or deleting an available site only takes constant time, since the number of available sites as well as the memory addresses is always updated. Thus new sites are simply add at the end of the list of available sites. When a site has to be deleted the last site in the array is moved to the memory slot available now.

Thus once all local updates are finished the *accum. rates* array is simply updated once. And ready we are for the next kMC step.

**Todo:** describe translation algorithm

### 2.5 The otf Backend

NOTICE: The otf backend is still on an EXPERIMENTAL state and not ready for production.

As described in “How the kmos kMC algorithm works” the default kmos backends (local_smart and lat_int) produce code which executes in time $O(1)$ with the system size (total number of sites in the lattice). This is achieved through some book-keeping overhead, in particular storing every rate constant beforehand in an array. For some particular class of problems, *i.e.* those in which extended lateral interactions are taken into account. This implies that some elementary processes need to be included multiple times in the model definition (to account for the effect of the surrounding lattice configuration on the rate constants). Depending on the amount of sites taken in account and the number of different species that participate, the number of repetitions can easily reach several thousands or more. This leads to two undesired effects: First the amount of memory required by the book-keeping structures (which is proportional to the number of processes) could quickly be larger than your system has available. Second, the kmos algorithm is $O(1)$ in system size, but $O(N)$ in number of processes, which eventually leads to a slow down for more complex systems.

The otf backend was developed with these setbacks in mind. otf stands for On The Fly, because rate constants of processes affected by lateral interactions are calculated at runtime, according to user specifications.

NOTE: Up to now only a limited type of lateral interactions are supported at the moment, but the development of additional ones should be easy within the framework of the otf backend.

In this new backend, kmos is not able to generate $O(1)$ code in the system size, but now each process corresponds to a full group of processes from the traditional backends. For this reason, the otf backend is been built to deal with simulations in which multisite/multispecies lateral interactions are included and in which the system size is not too large.

TODO: Put numbers to when_to_use_otf(volume, nr_of_procs)

#### 2.5.1 Reference

Here we will detail how to set up a kmc model for the otf kmos backend. It will be assumed that the reader is familiar with Tutorial “A first kMC Model—the API way” and focus will be in the differences between the traditional backends (local_smart and lat_int) and otf. Most of the model elements (Project, ConditionAction, Species, Parameter) work exactly the same in the new backend.

The Process object, is the one whose usage is most distinct, as it can take two otf-backend exclusive attributes:

• otf_rate: Represent the expression to be used to calculate the rate of the process at runtime. It is parsed similarly to the ‘rate_constant’ attribute and likewise can contain all the user defined parameters, as well as all constant and chemical potentials know to kmos. Additionally, special keywords (namely base_rate and nr_<species>_<_flag>) also have an special meaning. This is described below.
• bystander_list: A list objects from the Bystander class (described below) to represent the sites which do not participate in the reaction but which affect the rate constant.

Additionally, the meaning of the ‘rate_constant’ attribute is modified. This expression now represents the rate constant in the ‘default’ configuration around the process. What this default configuration means is up to the user, but it will normally be the rate at the zero coverage limit (ZCL).

Additionally a new model description element, the Bystander, has been introduced. It has the attributes

• coord: Represents a coordinate relative to the coordinates in the process.
• allowed_species: This is a list of species, which can affect the rate constant of the process when they sit in ‘coord’
• flag: This is a short string that works a descriptor of the bystander. It is useful when defining the otf_rate of the process to which the bystander is associated.

The rate constant to be calculated at runtime for each Process is given by the expression in ‘otf_rate’. Apart from all standard parameters, kmos also parses the strings

• ‘base_rate’: Which is evaluated to the value of the ‘rate_constant’ attribute

  NOTE: For now, the ‘base_rate’ expression is required.
• Any number of expressions of the form ‘nr_<species>_<flag>’. Where <species> is to be replaced by any of the species defined in the model and <flag> is to be replaced by one of the flags given to the bystanders of this process.

During export, kmos will write routines that look at the occupation of each of the bystanders at runtime and count the total number of each species within ‘allowed_species’ for each bystander type (flag).

2.5.2 Example

For this we will write down an alternative to the render_pairwise_interaction.py example file. Most of the script can be left as is. From the import statements, we can delete the line that imports itertools, as we won’t be needing it. From then on, up to the point where we have defined all process not affected by lateral interactions, we do not need any changes. We also need to collect a set of all interacting coordinates which will affect CO desorption rate:

```python
# fetch a lot of coordinates
coors = pt.lattice.generate_coord_set(size=[2, 2, 2],
                                       layer_name='simplecubic_2d')
# fetch all nearest neighbor coordinates
nn_coors = [nn_coord for i, nn_coord in enumerate(coors)
            if 0 < (np.linalg.norm(nn_coord.pos - center.pos)) <= A]
```

as with traditional backends. With the otf backend however, we do not need to account for all possible combinations (and thus we do not need the itertools module). In this case, desorption only has one condition and one action:

```python
conditions = [Condition(species='CO', coord=center)]
actions = [Action(species='empty', coord=center)]
```

And we use the coordinates we picked to generate some bystanders:

```python
bystander_list = [Bystander(coord=coord,
                           allowed_species=['CO'],
                           flag='1nn') for coord in nn_coors]
```

As we are only considering the CO-CO interaction, we only include it in the allowed_species, but we could easily have included more species. Now, we need to describe the expressions to calculate the rate constant at runtime. In the original script, the rate is given by:

2.5. The otf Backend
rate_constant = 'p_COgas*A*bar/sqrt(2*m_CO*umass/beta)'/
    '*exp(beta*(E_CO+\$s*E_CO_nn-mu_COgas)*eV)' % N_CO

where the N_CO is calculated beforehand (in the model building step) for each of the individual lattice configurations. For the otf backend, we define the ‘base’ rate constant as the rate at ZCL (N_CO = 0), that is:

rate_constant = 'p_COgas*A*bar/sqrt(2*m_CO*umass/beta)'/
    '*exp(beta*(E_CO-mu_COgas)*eV)'

Finally, we must provide the expression given to calculate the rate given the amount of CO around in our bystanders. For this we simply define:

otf_rate = 'base_rate*exp(beta*nr_CO_1nn*E_CO_nn*eV)'

All of this comes together in the process definition:

proc = Process(name='CO_desorption',
    conditions=conditions,
    actions=actions,
    bystander_list = bystander_list,
    rate_constant=rate_constant,
    otf_rate=otf_rate)
pt.add_process(proc)

2.5.3 Advanced OTF rate expressions

In the example above, the otf_rate variable for the processes included only a single expression that defined the rate taking into account the values of the nr_<species>_<flag> variables. For more complex lateral interaction models, this can become cumbersome. Alternatively, users can define otf_rate expressions that span several expressions/lines. Let’s assume we are dealing with a model similar to the one above, but now include an additional species, O, and the corresponding lateral interaction energy E_CO_O between these two. Similarly to the previous example, the rate would be given by:

rate_constant = 'p_COgas*A*bar/sqrt(2*m_CO*umass/beta)'/
    '*exp(beta*(E_CO+\$s*E_CO_nn+\$s*E_CO_O-mu_COgas)*eV)' % (N_CO,N_O)

where N_O is the number of nearest-neighbour O. This rate expression is still fairly simple and the previously described method would work by doing:

otf_rate = 'base_rate*exp(beta*(nr_CO_1nn*E_CO_nn+nr_O_1nn*E_CO_O)*eV)'

However, equivalently (and maybe more easy to read) we could define:

otf_rate = 'Vint = nr_CO_1nn*E_CO_nn+nr_O_1nn*E_CO_O\n' otf_rate += 'otf_rate = base_rate*exp(beta*Vint*eV)'

in which we have defined an auxiliary variable Vint. Behind the scenes, these lines are included in the source code automatically generated by kmos. Notice the inclusion of explicit \n characters. This is necessary because we want the line breaks to be explicitly stored as \n in the .xml file for export (spaces are ignored by the xml export engine). Since these expression are ultimately compiled as Fortran90 code, variable names are not case sensitive (i.e. A = ... and a = ... declare the same variable).

Additionally, when we want to include more than one line of code in otf_rate, we additionally need to include a line that states otf_rate = ... in order for kmos to know how to calculate the returned rate.
2.5.4 Running otf-kmos models

Once the otf model has been defined, the model can be run in a fashion very similar to the default kmos backends most of the differences arise from the

Todo: The rest of this sentence seems to have gotten lost somehow.

2.5.5 Known Issues

1. **Non-optimal updates to rates_matrix.** The current implementation of the backend is still non-optimal and can lead to considerable decrease in speed for larger systems sizes (scaling $O(N_{sites})$). This will be improved ($O(\log(N_{sites}))$) once more tests are conducted.

2. **Process name length limit** f2py will crash during compilation if a process has a name larger than approx. 20 characters.

2.6 The Process Syntax

In kMC language a process is uniquely defined by a configuration *before* the process is executed, a configuration *after* the process is executed, and a rate constant. Here this model is used to define a process by giving it a:

- condition_list
- action_list
- rate_constant

As you might guess each *condition* corresponds to one *before*, and each *action* corresponds to one *after*. In fact conditions and actions are actually of the same class or data type: each condition and action consists of a coordinate and a species which has to *be* or *will be* at the coordinate. This model of process definition also means that each process in one unit cell has to be defined explicitly. Typically one a single crystal surface one will have not one diffusion per species but as many as there are equivalent directions:

- species_diffusion_right
- species_diffusion_up
- species_diffusion_left
- species_diffusion_down

while this seems like a lot of work to define that many processes, it allows for a very clean and simple definition of a process itself. Later you can use geometric measures to abstract these cases as you will see further down.

2.6.1 Adsorption

Let's start with a very simple and basic process: molecular adsorption of a gas phase species, let call it $A$ on a surface site. For this we need a species

```python
from kmos.types import *
pt = Project()
A = Species(name='A')
pt.add_species(A)
```
empty = Species(name='empty')
pt.add_species(empty)

and the coordinate of a surface site

layer = Layer(name='default')
pt.add_layer(layer)
layer.sites.append(Site(name='a'))
coord = pt.lattice.generate_coord('a.(0,0,0).default')

which is for now all we need to define an adsorption process:

adsorption = Process(name='adsorption_A_a',
    condition_list=[Condition(coord=coord,
                              species='empty')],
    action_list=[Action(coord=coord,
                         species='A')])
pt.add_process(adsorption)

Now this wasn’t hard, was it?

2.6.2 Diffusion

Let’s move to another example, namely the diffusion of a particle to the next unit cell in the y-direction. You first need the coordinate of the final site

final = pt.lattice.generate_coord('a.(0,1,0).default')

and you are good to go

diffusion_up = Process('diffusion_A_up',
   condition_list=[Condition(coord=coord,
                            species='A'),
                Condition(coord=final,
                            species='empty')],
    condition_list=[Condition(coord=coord,
                            species='empty'),
                Condition(coord=final,
                            species='A')],
pt.add_process(diffusion_up)

You can complicated this ad infinitum but you know all elements needed to define processes.

2.6.3 Avoid Double Counting

Finally a word of warning: double counting is a phenomenon sometimes encountered for those process where there is more than one equivalent direction for a process and the coordinates within the process are also equivalent. Think of dissociative oxygen adsorption. Novices typically collect all possible directions (e.g. right, up, left, down) and then define this process for each direction. Later they realize that in fact they double counted the process because e.g. adsorption_up is the same processes as adsorption_down, just executed from one site above or below. Then they compensate by dividing each adsorption rate constant by 2. Later realizing that they have to do the same for desorption. Ok, I have done this and believe me it is really bad when you are looking for an error if at the same you already divide the unit cell size by 2 for some reason.
The smart way out is to save the pain and to avoid double counting completely from the beginning and just think how many process are geometrically inequivalent in the unit cell. A simple trick is to only consider processes in the positive directions.

### 2.6.4 Taking It Home

- A process consists of conditions, actions and a rate constant
- *double counting* is best avoided from the beginning

### 2.7 The Site/Coordinate Syntax

In the atomistic kMC simulations pursued here one defines processes in terms of sites on some more or less fixed lattice. This reflects the physical observation that molecules on surfaces adsorb on very specific locations above a solid.

To represent this in a computer program, we first need to make a small but crucial differentiation: namely the difference between the *sites* of a (surface) structure and the *coordinates* of a process. The difference is that a given structure contains each site defined exactly once, whereas a process may use the same site several times however in a different unit cell. So this differentiation owes to the fact that we commonly simulate highly periodic structures.

Ok, having this out of the way you start to define and use sites and coordinates. The minimum constructor for a site is

```python
site = Site(name='site_name')
```

where `site_name` can be a string without spaces and all names should be unique within one layer. Usually it is reasonable to add a position in relative coordinates right-away like so

```python
site = Site(name='hollow', pos='0.5 0.5 0.0')
```

which would place the site at the bottom center of the cell. A direct benefit is that you can measure distances between coordinates later on to, e.g. select all nearest neighbor or next-nearest neighbor sites.

A site can have some more attributes. Some of them are only needed in conjunction with GUI use. It is worth to know that each site can have one or more tags. This way one create types of site and conveniently select all sites with a one more tags. The syntax here is as follows

```python
site = Site(name='hollow', pos='0.5 0.5 0.0', tags='tag1 tag2 ...')
```

The second part is to generate the coordinates that are used in the process description.

#### 2.7.1 Manual generation

To quickly generate single coordinates you can generate it from a Project like so

```python
pt.lattice.generate_coord('hollow.(0,0,0).layer_name')
```

Let’s look at the generation string. The general syntax is

```python
site_name.offset.layer_name
```

The `site_name` and the `layer_name` must have been defined before. The offset is a tuple of three integer numbers \((0, 0, 1)\) and specifies the relative unit cell of this coordinate. Of course this only becomes meaningful as soon as you use more than one coordinate in a process.
Missing values will be filled in from the back using default values, such that

```
site -> site.(0,0,0) -> site.(0,0,0).default_layer
```

## 2.7.2 Advanced Coordinate Techniques

Generating large process lists with a lot of similar or even degenerate processes is a very boring task. So we should try to use programming logic as much as possible. Here I will outline a couple of idioms you can use here.

Often times it is handier (less typing) to generate a larger set of coordinates at first and then select different subsets from it in a process definition. For this purpose you can use

```
pset = pt.lattice.generate_coord_set(size=[x,y,z], layer_name='layer_name')
```

This collects all sites from the given layer and generates all coordinates in the first unit cell (offset=(1,1,1)) and all x, y, and z unit cells in the respective direction.

To select subsets in a readable way I suggest you use list comprehensions, like so

```
[ x for x in pset if not x.offset.any() ]
```

which again selects all sites in the first unit cell. Or to select all site tagged with foo you could use

```
[ x for x in pset if 'foo' in x.tags.split() ]
```

or having defined a unit cell size and a site position your can measure real-space distances between coordinate like so

```
np.linalg.norm(x.pos-y.pos)
```

Or of course you can use any combination of the above.

## 2.7.3 Taking it home

- sites belong to a structure while coordinates belong to a process
- coordinates are generated from sites
- coordinate sets can be selected and chopped using list comprehensions and tags

## 2.8 Developer’s guide

### 2.8.1 Introduction and disclaimer

This guide intends to work as an introduction into kmos’ internal structure, including both the automatically generated Fortran code, as well as the code generation procedure. As the name suggest, the intended audience are those who want to contribute to kmos as developers. This guide will assume that you are familiar with the way in which kmos is used. If that is not the case, you should start reading the sections of the documentation intended for users and/or go through the Intro to kmos tutorial.

**DISCLAIMER**: This is information is provided with the hopes that it will ease your way into kmos’ codebase, but it may contain errors. In the end only the interpretation of the code itself can really let you effectively add functionality to kmos.
2.8.2 Some nomenclature.

For some terms used frequently in this guide, there might exist some ambiguity on exact meaning. Here we present some definitions to try to alleviate this. Probably some ambiguity will remain, but hopefully not anything that cannot be discerned from context.

site: In kmos, this can have two different interpretations: either a specific node of the lattice or a type of site, e.g. a crystallographic site (top, fcc, hollow...). In this guide we use the former meaning: an specific position on the simulation lattice. When we need to indicate the second meaning, we will use site type.

coordinate: indicates the relative position of a site in the lattice with respect to some other site. In general, a coordinate will be given as a pair of site pair and an offset representing the relative positions of the unit cells (in units of the unit cell vector). The site used as reference will depend on the context.

process: a set of elementary changes that can occur on the lattice state on a single kMC step. A process is defined by a list of conditions and actions, and a rate constant expression.

executing coordinate: A coordinate associated to each process to be used as a reference for the relative positions of conditions and actions when defining the Fortran routines. In local_smart and lat_int the executing coordinate is found with the help of the kmos.types.Process.executing_coord method. In otf the concept of executing coordinate is not used, the reference position in the lattice is the central position implied by the user during model definition, i.e. the position in which coordinates have offset = (0, 0, 0).

event: a process for which an specific site has been selected.

active event: An event that can be executed given the current state of the lattice, i.e. an event for which all associated conditions are fulfilled.

lateral interactions: For kmos models built for the local_smart or lat_int, we will say that such model includes lateral interactions if there is one or more groups of processes with the following characteristics:

1. their actions are all identical
2. the conditions occurring on the same sites as the actions are identical
3. there is a group of additional sites in which these processes have conditions, but these conditions are different for each process in the group

These processes represent the same change in the lattice, but under a difference state of the rest of the sites. These groups of processes are typically used to account for the effect of surrounding species on the values of the rate constants, i.e. the lateral interactions.

lateral interaction group: The group of processes defined by items a, b, c above.

bystander (local_smart or lat_int backends) The set of conditions of item c above, i.e. conditions of a process in a lateral interaction groups that do not have an action associated to it.

bystander site/coordinate: A site/coordinate associated with a bystanders.

participating sites: Sites associated with actions from item a and conditions from item b of the definition of a lateral interaction group.

lateral interaction event group: a collection of events occurring on the same lattice site and whose associated processes belong to the same lateral interaction group. Due to the nature of lateral interaction groups, only one of such events can be possible in a lattice at any given time.

bystander (otf backend): In the otf backend, the concept of bystander is explicitly included in the model definition, i.e. it is a new class kmos.types.Bystander exclusive to this backend. An otf model is said to include lateral interactions if one of its processes includes such bystanders. Note that models without lateral interactions should not be built using the otf backend, as local_smart will definitely be more efficient.
2.8.3 The three backends

While most kmos users will only need to worry about the Python interface to build and run the model, developers will also need to familiarize with the FORTRAN core code. The exact structure of this code depends on the backend that one selects. Which backend is most appropriate depends on the nature of the kMC model being implemented. Below we present a qualitative description of each backend.

local_smart

This is the original kmos backend and has been used as a basis and inspiration for the rest of the backends. It was built with the implicit objective of offering the best run time performance at the expense of memory usage. For this reason, a key element in this backend is a precalculated list of rate constants, stored in the base/rates array.

This is the most efficient backend when the number of different rate constants list is reasonable small.

For models with very large number of different processes nproc (such as cases in which large lateral interaction groups exist) some undesirable effects can occur:

- The time needed to run a kMC step can become large, as it scales as \( \mathcal{O}(nproc) \).
- The bookkeeping data structures, which scale in size with the total number of processes, can become too big for available memory.
- The size of individual source code files can become very large, making compilation very slow or even impossible due to memory requirements.

lat_int

The lat_int backend is the first attempt to alleviate the problems of local_smart for models with lateral interaction groups of moderate size. The main differences between them is that lat_int structures the generated code around the different lateral interaction groups and splits the source files accordingly. This way compilation is faster and requires less memory. A necessary consequence of this is that the logic for the lattice update needs to be different.

TODO: I seem to recall that there are models for which lat_int outperforms local_smart, even when local_smart can eventually compile and run. This should be verified and interpreted (i.e. is lat_int smarter than local_smart some times? If so, why?).

otf

For processes with lots of lateral interactions, i.e. very large lateral interaction groups, keeping a list of precalculated rate constants (and the proportionally large bookkeeping arrays) is unfeasible. The alternative is to evaluate rate constants during runtime, i.e. on the fly. kMC models built using the otf array do just that. To accommodate for this, the concept of a process in otf is different to that in the other backends. In otf, all members of a lateral interaction group are represented by a single process. Therefore, the total number of processes and, consequently, the size of bookkeeping arrays is much smaller. The counterpart from this improvement is that now a kMC step scales linearly with the system size (instead of being constant time).

2.8.4 The structure of the FORTRAN code.

Here we present a description of the different files in which the source code is split. We use the local_smart backend as a basis for this description, as it is the original backend and contains the fewest files. For the other backends, we will only explain the differences with local_smart.

All kmos models contain train main source files: base.f90, lattice.f90 and proclist.f90. Each of these source files defines a module of the same name. These modules are exposed to Python interface.
Files for the `local_smart` backend

**base.f90**

As it name suggests, `base.f90` contains the lowest-level elements of the model. It implements the kMC method in a 1D lattice. The `base` module contains all the bookkeeping arrays described in *Key data-structures* and the routines used to

- allocate and deallocate memory
- update of the bookkeeping arrays for lattice configuration and available processes
- using such arrays to determine the next process to be executed
- keep track of kMC time and total number of steps
- keep track of the number of executions of each individual process (`procstat`)
- saving an reloading the system’s state

Many routines in `base` take a variable `site` as input. This is an index (integer value) that identifies a site on the 1D representation of the lattice (i.e. the ND lattice of the problem, flattened).

The contents of `base.f90` are (mostly) fixed, i.e. it is (almost) the same file for all kmos models (as long as they use the same backend).

**lattice.f90**

The role of the `lattice.f90` is to generate the map from the ND lattice (N=1, 2, 3) to the 1D lattice that is handled by `base.f90`. The `lattice` module imports subroutines from the `base` module. Beside the look-up arrays `lattice2nr` and `nr2lattice`, used to map to and from the 1D lattice, this module also implements wrappers to many of the basic functions defined in `base.f90`. Such wrappers take now a 4D array `isite` variable, designating the site on a 3D lattice, instead of the single integer `site` used by `base`. The first three elements of this array indicate the ($(x, y, z)$) position of the corresponding unit cell (in unit cell vector units), while the fourth indicates the site type.

In cases of lower dimensional lattices, some elements of the `site` array simply stay always at a value of 0.

The `lattice.f90` file needs to be generated especially for each model, but only changes if the lattice used changes (e.g. if the number of site types or the dimension of the model).

**proclist.f90**

`proclist.f90` includes the routines called by the Python interface while running the model. In addition, it encodes the logic necessary to update the list of active events (i.e. the main bookkeeping arrays, `avail_procs` and `nr_of_sites`), given that a specific process has been selected for execution. The module imports methods and variables from both the `base` and `lattice` modules.

The `proclist.f90` files needs to be generated specially for each model, and is the file that changes most often during model development, as it is updated every time a process changes.

Files for the `lat_int` backend

**proclist.f90**

Some of the functionality that existed here in `local_smart` has been moved to different source files. While the functions called by the Python interface during execution remain here, the logic to update the list of active events
is moved to nli_*..f90 and run_proc_*..f90 files. In addition, constants are also defined in an independent module on the separate file proclist_constants.f90.

proclist_constants.f90

Defines a module declaring several constants used by proclist, nli_* and run_proc_* modules.

nli_<lat_int_nr>.f90

There is one of such file for each lateral interaction group. These source files are enumerated starting from zero. Each of them implements a module called nli_<lat_int_nr> which contains a single function nli_<lat_int_group> is the name of the lateral interaction group, which coincides with the name of the first (lowest index) process in the group. These functions implement logic to decide which process from the group can occur on a given site, if any.

run_proc_<lat_int_nr>.f90

There is one of such file for each lateral interaction group. These source files are enumerated starting from zero. Each of them implements a module called run_proc_<lat_int_nr> that contains a single subroutine run_proc_<lat_int_group> is the name of the lateral interaction group, which coincides with the name of the first (lowest index) process in the group. This routine is responsible of calling lattice/add_proc and lattice/del_proc for each lateral interaction group that should potentially be added or deleted. For this, it passes results of the nli_<lat_int_group> functions as argument, to ensure correct update of the list of active events.

Files for the otf backend

proclist.f90

Similar to lat_int, this file contains the functions called by the Python interface at runtime. Contrary to local_smart, the logic for the update of the active event list is in the run_proc_<proc_nr>.f90 files and constants shared among different modules are defined on proclist_constants.f90.

proclist_constants.f90

Defines constant values to be shared between the proclist, proclist_pars and run_proc_*.

proclist_pars.f90

This file implements the modules proclist_pars (“process list parameters”) and takes care of providing functionality that only existed at the Python level in the earlier backends. More importantly, it implements the functions used to evaluate rate constants during execution. In more detail it:

• Implements the Fortran array userpar to access user-defined parameters at FORTRAN level, and functionality to update them from Python.

• When necessary, it implements a chempots array for accessing the chemical potentials in FORTRAN.

• It includes the routines gr_<proc_name> and rate_<proc_name>, which are used to evaluate the rate constants on the fly.
run_proc_<proc_nr>.f90

There is one of such file for each process in the model. They implement modules run_proc_<proc_nr> containing a run_proc_<proc_name> subroutine each. These routines contain the decision trees that figure out which events need to be activated or deactivated and call the corresponding functions from base (add_proc and del_proc).

2.8.5 Key data-structures

Here we describe the most important arrays required for bookkeeping in kmos. Understanding what information these arrays contain is crucial to understand how kmos selects the next kMC process to be executed. This is explained in One kmc step in kmos. All these data structures are declared in the base module and their dimensions are based on the “flattened” representation of the lattice in 1 dimension.

Important scalar variables

• nr_of_proc (int): The total number of processes in the model
• volume (int): The total number of sites in the lattice

Important arrays

rates

• Dimension: 1
• Type: float
• Size: nr_of_proc

Contains the rate constants for each process. This array is kept fixed during the execution of the kMC algorithm, and is only to be changed through the Python interface.

In the otf backend, rate constants are obtained on-the-fly during the execution of the kMC algorithm and stored in the rates_matrix array and the rates arrays contains simply a set of “default” rate constant values. These values can optionally (but not necessarily) be used to help with the calculation of the rates.

lattice

• Dimension: 1
• Type: int
• Size: volume

This array contains the state of the lattice, i.e. which species sits on each site.

nr_of_sites

• Dimensions: 1
• Type: int
• Size: nr_of_proc
This array keeps track of the number of currently active events associated to each process, i.e. it holds the number of different sites in which a given process can be executed.

**accum_rates**

- Dimensions: 1
- Type: float
- Size: \( nr\_of\_proc \)

This array is used to store partial sums of rate constants, ordered according to process index. In `local_smart` and `lat_int`, thanks to the fact that all copies of a process have an equal rate constant, the values of this array can be calculated according to

\[
\text{accum_rates}(i) = \sum_{j=1}^{i} \text{rates}(j) \times \text{nr\_of\_sites}(j)
\]  

(2.2)

In `ctf` rate constants for a given process are different for a given site. Therefore, evaluation is more involved, namely

\[
\text{accum_rates}(i) = \sum_{j=1}^{\text{nr\_of\_sites}(i)} \sum_{k=1}^{\text{nr\_of\_sites}(j)} \text{rates\_matrix}(j, k)
\]

In all backends, the contents of `accum_rates` are reevaluated every kMC step.

**avail_sites**

- Dimensions: 3
- Type: int
- Size: \( nr\_of\_proc \times volume \times 2 \)

This is arguably the most important bookkeeping array for kmos, which keeps track of which processes can be executed each sites on the lattice, i.e. keeps track of all active events. To accelerate the update time of these arrays (see here), the information this array contains is duplicated. In practice, `avail_sites` can be considered as two 2D arrays of size \( nr\_of\_proc \times volume \).

Each row in `avail_sites(:, :, 1)` correspond to a process, and contains a list of the indices for the sites in which said process can occur according to the current state of the lattice, i.e. a list of the sites with active events associated to this process. Each site index appears at most once on each row. This array is filled from the right. This means that the first \( \text{nr\_of\_sites}(i) \) elements of row \( i \) will be larger than zero and smaller or equal than \( volume \), while the last \( (volume - \text{nr\_of\_sites}(i)) \) elements will all be equal to zero. The elements of the rows of `avail_sites(:, :, 1)` are not sorted, and their order depends on the (stochastic) trajectory the system has taken.

The rows on `avail_sites(:, :, 2)` function as an index for the rows of `avail_sites(:, :, 1)`. Given \( 1 \leq i \leq nr\_of\_proc \) and \( 1 \leq j \leq volume \), if process \( i \) can occur on site \( j \), then `avail_sites(i, j, 2) = k`, with \( k \geq 1 \) and such that `avail_sites(i, k, 1) = j`. Conversely, if process \( i \) cannot occur on site \( j \), then `avail_sites(i, j, 2) = 0` and no element in `avail_sites(i, :, 1)` will be equal to \( j \).

**procstat**

- Dimensions: 1
Fig. 2.3: A example of an `avail_sites` array for a model with 5 processes and 10 sites.

```text
avail_sites(., ., 1)

<table>
<thead>
<tr>
<th>3</th>
<th>7</th>
<th>2</th>
<th>9</th>
<th>0</th>
<th>0</th>
<th>0</th>
<th>0</th>
<th>0</th>
<th>0</th>
</tr>
</thead>
<tbody>
<tr>
<td>5</td>
<td>4</td>
<td>1</td>
<td>10</td>
<td>2</td>
<td>7</td>
<td>9</td>
<td>8</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>6</td>
<td>3</td>
<td>5</td>
<td>2</td>
<td>4</td>
<td>8</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>2</td>
<td>1</td>
<td>8</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
</tbody>
</table>

avail_sites(., ., 2)

<table>
<thead>
<tr>
<th>0</th>
<th>3</th>
<th>1</th>
<th>0</th>
<th>0</th>
<th>0</th>
<th>2</th>
<th>0</th>
<th>4</th>
<th>0</th>
</tr>
</thead>
<tbody>
<tr>
<td>3</td>
<td>5</td>
<td>0</td>
<td>2</td>
<td>1</td>
<td>0</td>
<td>6</td>
<td>8</td>
<td>7</td>
<td>4</td>
</tr>
<tr>
<td>0</td>
<td>4</td>
<td>2</td>
<td>5</td>
<td>3</td>
<td>1</td>
<td>0</td>
<td>6</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>2</td>
<td>1</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>3</td>
<td>0</td>
<td>0</td>
</tr>
</tbody>
</table>
```
- Type: long int
- Size Total number of processes (nr_of_proc)

This array is used to keep track of how many times each process is executed, i.e. the fundamental result of the kMC simulation. This array is used by the Python interface to evaluate the turnover frequencies (TOFs).

### Additional arrays for the otf backend

The otf backend uses all the bookkeeping arrays from the other two backends, but needs in addition the following

**accum_rates_proc**

- Dimension: 1
- Type: float
- Size: volume

This array is updated in every kMC step with the accumulated rate for the process selected for execution. This is necessary because the site cannot be selected uniformly random from avail_sites, but needs to be picked with a binary search on this array.

**rates_matrix**

- Dimension: 2
- Type: float
- Size: nr_of_proc * volume

This matrix stores the rate for each current active event. The entries of this matrix are sorted in the same order as the elements of avail_sites(:, :, 1) and used to update the accum_rates array.

### 2.8.6 One kmc step in kmos

The main role of the bookkeeping arrays from last section, specially avail_sites and nr_of_sites, is to make kMC steps execute fast and without the need to query the full lattice state. The routines do_kmc_step and do_kmc_steps from the proclist module execute such steps. The diagram above represents the functions called by these routines.

During system initialization, the current state of the system is written into the lattice array and the avail_sites and nr_of_sites arrays are initialized according to this. With these arrays in sync, it is possible to evaluate accum_rates according to eq. (2.2). With this information, and using two random numbers 0 < ran_proc, ran_site < 1, the routine base/determine_procsite can select the next event to execute. This subroutine first selects a process according to the probabilities given by accum_rates. This is achieved by multiplying the total accumulated rate, i.e. the last element of accum_rates, times ran_proc. The subroutine base/interval_search_real implements a binary search to find the index proc such that

\[
\text{accum_rates(proc -1) \leq ran_proc \text{ accu}}
\]

\[
\text{m_rates(nr_of_proc) \leq accu}
\]

\[
\text{m_rates(proc).}
\]

This step scales O(log (nr_of_proc)). Then, a site is selected with uniform probability from the (non-zero) items of avail_sites(proc,:,1). This is valid because all individual events associated to a given processes share
Fig. 2.4: A kMC step using kmos’ local_smart backend. Subroutines are represented by labeled boxes. The content of a given box summarizes the operations performed by the subroutine or the subroutines called by it. Variables (scalar or arrays) are indicated by gray boxes. An arrow pointing to a variable indicates that a subroutine updates it (or defines it). Arrows pointing to a subroutine indicate that the routine uses the variable. In kmos, the passing of information occurs both through subroutine arguments and through module-wide shared variables; this distinction is not present in the diagram.
the same rate constant. This way, we avoid searching through the whole lattice, and we are able to select a site at constant time.

After this, the proclist/run_proc_nr subroutine is called with proc and site as arguments. This function first calls base/increment_procstat with proc as argument to keep track of the times each process is executed. Next, it uses the nr2lattice look-up table to transform the scalar site variable into the 4D representation (see lattice.f90). Finally, this function calls the methods which actually update the the lattice state and, consistent with this, the bookkeeping arrays. These are the proclist/take_<species>_<layer>_<site> and proclist/put_<species>_<layer>_<site> methods. Given a lattice site, take methods replace the corresponding species sitting there with the default species. The put methods do the converse. The set of put and take routines that need to be executed by each process are directly obtained from the conditions and actions from the process definition. These are hard-coded into the proclist/run_proc_nr routine, organized in a case-select block for the proc variable.

The proclist/take_<species>_<layer>_<site> and proclist/put_<species>_<layer>_<site> subroutines are arguably the most complex of a local_smart kmos model. Their ultimate goal is to call lattice/add_proc and/or lattice/del_proc to update avail_sites and nr_of_sites in correspondence with the change in the lattice they are effecting. To do this they need to query the current state of the lattice. The structure of these routines is described below.

The actual update of avail_sites and nr_of_proc is done by the base/add_proc and base/del_proc functions. Under Updating avail_sites below, we explain how these functions make use of the structure of avail_sites to make updates take constant time. Once these arrays have been updated, the bookkeeping arrays are again in sync with the lattice state. Therefore, it is possible to reevaluate accum_rates using eq. (2.2) and start the process for the selection of the next step.

The put and take routines

These subroutines take care of updating the lattice and keeping the bookkeeping arrays in sync with it. When the occupation of a given site changes, some formerly active events need to be deactivated, while some formerly inactive events need to be activated. Figuring out which those events are is the main role of the put and take routines.

In kmos, processes are represented by a list of conditions and a list of actions. An event is active if and only if all the conditions of its associated process are satisfied. As the put and take routines only look at the change of an individual site in the lattice, determining which events need to be turned-off is straightforward: All active events which have a condition that gets unfulfilled on the site affected by the put/take routine will be deactivated. This is the first thing put/take routines do after updating the lattice.

Deciding which processes need to be activated is more involved. All inactive events with a condition that gets fulfilled by the effect of the put/take routine are candidates for activation. However, in this case, it is necessary to check the lattice state to find out whether or not such events have all other conditions fulfilled. A straightforward of accomplishing this is to sequentially look at each event, i.e.:

```
FOR each candidate event E
   TurnOn = True
   FOR each condition C of E
      IF C is unfulfilled:
         TurnOn = False
         break
   ENDFOR
   IF TurnOn is True:
      Activate E
   ENDFOR
```
However, chances are that many of the candidate events will have conditions on the same site. Therefore, a routine like the above would query a given lattice site many times for each execution of a put/take routine. For complex models with many conditions in the processes, this could become quickly the main computational bottleneck of the simulation.

The alternative to this naive approach, is to try to build a decision tree that queries the lattice state more efficiently. kmos generates such a decision tree using an heuristic algorithm. The main idea behind it is to group all the sites that would need to be queried and to sort them by the number of candidate events with conditions on them. A decision tree is built such that sites are queried on that order, thus prioritizing the sites that are more likely to reduce the number of processes that need activation. Such decision trees are implemented as select-case trees in the put/take routines and typically occupy the bulk of the code of proclist.f90. A more detailed description on how this is done is discussed below.

### Updating avail_sites

Adding proc = 1, site = 6

\[
\begin{array}{cccccccc}
3 & 7 & 2 & 9 & 0 & 0 & 0 & 0 \\
5 & 4 & 1 & 10 & 7 & 9 & 8 & 0 \\
6 & 3 & 5 & 2 & 4 & 8 & 0 & 0 \\
2 & 1 & 8 & 0 & 0 & 0 & 0 & 0 \\
\end{array}
\]

\[
\begin{array}{cccccccc}
0 & 3 & 1 & 0 & 0 & 0 & 2 & 0 \\
3 & 5 & 2 & 1 & 0 & 6 & 8 & 7 \\
0 & 4 & 2 & 5 & 3 & 1 & 0 & 6 \\
2 & 1 & 0 & 0 & 0 & 0 & 3 & 0 \\
\end{array}
\]

\[
r_{of\_sites}(proc) \leftarrow nr_{of\_sites}(proc)
\]

\[
avail_{sites}(proc, nr_{of\_sites}(proc), 1) \leftarrow site
\]

\[
avail_{sites}(proc, site, 2) \leftarrow nr_{of\_sites}(proc)
\]

Fig. 2.5: Adding an process to the avail_sites array. Pseudocode for the addition of a process is also indicated.

The avail_sites and nr_of_sites arrays are only updated through the base/add_proc and base/del_proc subroutines, which take a process index proc and a site index site as input arguments. Adding events is programmatically easier. As the rows of avail_sites(:,:,1) are filled from the left, the new event can be added by changing the first zero item of the corresponding row, i.e. avail_sites(proc, nr_of_sites(proc) + 1, 1), to site and updating avail_sites(:,:,2) and nr_of_procs accordingly. An example of this procedure is presented in the figure above.

Deleting an event is slightly more involved, as non-zero elements in avail_sites(:,:,1) rows need to remain contiguous and on the left side of the array. To ensure this, the element that would be deleted (somewhere in the middle of the array) is updated to the value of the last non-zero element of the row, which is later deleted. To keep the arrays in sync, avail_sites(:,:,2) is also updated, by updating the index of the moved site to reflect its new position. Finally, avail_sites(site, proc, 2) is set to zero. The figure above shows an example and presents pseudocode for such an update. Having the information in avail_sites(:,:,1) duplicated (but restructures) in avail_sites(:,:,2) allows these update operations to be performed in constant time, instead of needing to perform updates that scale with the system size.
Deleting proc = 3, site = 5

\begin{align*}
\text{avail_sites}(., ., 1) & \quad \text{avail_sites}(., ., 2) \\
3 & 7 & 2 & 9 & 0 & 0 & 0 & 0 & 0 \\
5 & 4 & 1 & 10 & 2 & 7 & 9 & 8 & 0 \\
6 & 3 & 5 & 2 & 4 & 8 & 0 & 0 & 0 \\
2 & 1 & 8 & 0 & 0 & 0 & 0 & 0 & 0
\end{align*}

\begin{align*}
del\_index = \text{avail_sites}(proc, site, 2) \\
move\_site = \text{avail_sites}(proc, nr\_of\_sites(proc), 1) \\
\text{avail_sites}(proc, del\_index, 1) & \rightarrow move\_site \\
\text{avail_sites}(proc, nr\_of\_sites(proc), 1) & \rightarrow 0 \\
\text{avail_sites}(proc, move\_site, 2) & \rightarrow del\_index \\
\text{avail_sites}(proc, site, 2) & \rightarrow 0 \\
\text{nr\_of\_sites(proc)} & \rightarrow \text{nr\_of\_sites(proc)} - 1
\end{align*}

Fig. 2.6: Deleting an process from avail_sites array. Pseudocode for the deletion of a process is also indicated.

A kmc step with the lat_int backend

The process of executing a kMC step with the lat_int backend is very similar as that of the local_smart backend. In particular, the way avail_sites, nr_of_procs and accum_rates are updated, as well as the selection of process and site indices proc and site that will be executed is identical. The only difference exists within the call of the proclist/run_proc_nr routine, as the routines for finding which events need to be (de)activated are implemented differently.

In lat_int, proclist/proc_run_nr does not call put and take subroutines (which do not exist in the lat_int code-base), but calls subroutines specific to each lateral interaction group run_proc_<lat_int_nr>/run_proc_<lat_int_group>. They do not directly implement a decision tree, but rely on the nli_<lat_int_nr>/nli_<lat_int_group> functions.

The nli_<lat_int_nr>/nli_<lat_int_group> perform the analysis of the lattice state. They take a site on the lattice and look at the conditions of the elements of the corresponding lateral interaction event group. Using this information, they return the index of the process (within the lateral interaction group) which can currently be executed. If none can, it returns 0.

A proclist/run_proc_<lat_int_group> routine first calls del_proc for each lateral interaction event group which has a condition (including bystanders) affected by the changes in the lattice. The argument for del_proc will be the output of the corresponding nli_* functions, which will figure out which of the events is currently active (and can thus be deleted). After deleting processes, the lattice is updated according to the actions of the lateral interaction group. Once the new system state is set, add_proc is called for the same processes that del_proc was called, again using nli_* as argument. This way, the correct processes associated to the new state of the lattice will be activated.

This method works because of a slight, but important, difference in base/add_proc and base/del_proc between lat_int and local_smart. In local_smart, calling one of these functions with an argument proc=0...
Fig. 2.7: A kMC step using kmos’ lat_int backend. Subroutines are represented by labeled boxes. The content of a given box summarizes the operations performed by the subroutine or the subroutines called by it. Variables (scalar or arrays) are indicated by gray boxes. An arrow pointing to a variable indicates that a subroutine updates it (or defines it). Arrows pointing to a subroutine indicate that the routine uses the variable. In kmos, the passing of information occurs both through subroutine arguments and through module-wide shared variables; this distinction is not present in the diagram.
would lead to a program failure. In `lat_int`, this leads to the functions simply not adding or deleting any process to `avail_sites`.

**A kmc step with the `otf` backend**

As expected, the algorithm for running a kMC step with `otf` differs considerably from `local_smart` and `lat_int`. Firstly, the update of the `accum_rates` is more involved, as different copies of the processes do not share a single rate constant. For this reason, it is necessary to use the `rates_matrix` array, which contains the current rate constants for all active events. The `accum_rates` array is updated according to

\[
\text{accum\_rates}(i) = \sum_{j=1}^{i} \sum_{k=1}^{\text{nr\_of\_sites}(j)} \text{rates\_matrix}(j, k)
\]

The computational time to perform this summation now scales as \(O(nr\_of\_procs \times \text{volume})\), instead of the \(O(nr\_of\_procs)\) for `local_smart`. Though this might seem like a disadvantage, it is important to notice that the value of `nr\_of\_procs` in `otf` can be smaller (potentially by several orders of magnitude) than in `local_smart`, and thus `otf` can outperform `local_small` for complex models (many lateral interactions) when using sufficiently small simulation sizes (small volume).

Once `accum_rates` is evaluated, `base/determine_procsite` proceeds to find the process index `proc` of the event to be executed. This is achieved by performing a binary search on `accum_rates`, exactly like in `local_smart` or `lat_int`. To select the site index, it is first necessary to evaluate

\[
\text{accum\_rates\_proc}(i) = \sum_{k=1}^{i} \text{rates\_matrix}(\text{proc}, k),
\]

i.e. the partial sums of rates for the different events associated to process `proc`. Then a second binary search can be performed on `accum\_rates\_proc` to find \(s\) such that

\[
\text{ran\_site} \leq \text{accum\_rates\_proc}(\text{nr\_of\_sites}(\text{proc})) \leq \text{accum\_rates\_proc}(s).
\]

Therefore, \(s\) corresponds to the index of the selected site according to the current order of the `avail_sites(:, :, 1)` array. The site index as `site = avail_sites(proc, s, 1)`.

The process of updating the lattice and the bookkeeping arrays is also rather different. As in the other backends, first `proclist/run_proc_nr` is called with `proc` and `site` as arguments. Besides calling `base/increment_procstat`, it is responsible for calling the adequate `run_proc_<proc_nr>/run_proc_<proc_name>` routine. There is one of such routine for each process and they play the same role as the `put` and `take` routines in `local_smart`. The main difference is that these routines are built for executing full processes instead of elemental changes to individual sites. These functions need to look into the state of lattice and determine:

1. which events get one or more of their conditions unfulfilled by the executed event
2. which events get one or more of their condition fulfilled by the executed event and also have all other conditions fulfilled
3. which events are affected by a change in one of their bystanders

For events in (a), `run_proc_<proc_nr>/run_proc_<proc_name>` run `lattice/del_proc`. For events in (b) and (c), rate constants are needed. This is done using functions from `proclist_pars` module, as described below. With the know rate constants, `run_proc_<proc_nr>/run_proc_<proc_name>` calls `lattice/add_proc` for each event in (b) and `lattice/update_rates_matrix` for each event in (c). In `otf`, `lattice/add_proc` and `base/add_proc` take a floating point argument for the rate constant in addition to
Fig. 2.8: A kMC step in with the otf backend. Subroutines are represented by labeled boxed, located inside the box corresponding to the calling function. Variables (scalar or arrays) are indicated by gray boxes. An arrow pointing to a variable indicates that a subroutine updates it (or defines it). An arrows pointing to a subroutine indicates that the routine uses the variable or the output of the function. The passing of information occurs both through subroutine arguments and through module-wide shared variables; this distinction is not present in the diagram.
the usual site and proc arguments. More details on the structure of these routines will be given in the section on the translation algorithm.

Rate constants are evaluated using the proclist_params/gr_<proc_name>. These functions look at the current state of the lattice to evaluate a integer array nr_vars which encodes the number of the different types of interactions that are present. This is used as input for the corresponding proclist_pars/rate_<proc_name> which implements the user defined rate expression. These can include user-defined parameters, which are encoded in FORTRAN with the userpar array in the proclistpars module.

After proclist/run_proc_nr executes, the lattice, avail_sites, nr_of_sites and rates_matrix are in sync again, and the next kMC step can start with the evaluation of accum_rates.

2.8.7 The code generation routines

$ kmos export model.xml

Fig. 2.9: Routines called during the export of a kmos model

As most of the source code described in the previous sections is generated automatically, it is crucial to also understand how this works. Code generation are contained in the kmos.io Python submodule. The normal way to use this module is through the command line, i.e. invoking the kmos export command. The figure above shows the subroutines/functions which are called when this is done. The command line call itself is handled by the kmos.cli submodule. Furthermore, the export procedure relies on the classes from the kmos.types submodule, which define the abstract representation of the kMC model. Specifically, a model definition from an xml or ini file into a kmos.types.Project object. The rest is done with the help of an instance of the kmos.io.ProcListWriter class, which contains several methods that write source code. Specifically, Fortran source code is generated in one of three ways:

- files are copied directly from kmos' installation
- code is generated with the help of a template file, which is processed by the kmos.io.ProcListWriter.write_template method
- code is written from scratch by one of the several kmos.io.ProcListWriter.write_proclist_* methods.
The format of the template files and how kmos.io.ProcListWriter.write_template works is explained in next section. The kmos.io.ProcListWriter.write_proclist method calls several other methods in charge of building different parts of the source code, these methods are named according to the pattern kmos.io.ProcListWriter.write_proclist_*. Exactly which of these methods are called depends on the backend being used. Some of such functions are specific to a certain backend, while other work for more than one backend. This is detailed under The write_proclist method.

The source file template

Template files are located in the kmos/fortran_src/ folder of the kmos’ source code and have the mpy extension. Each line of these files contains either

- Python source code or
- template text prefixed with #@

kmos.utils.evaluate_template processes these files to convert them into valid python code. The Python lines are left unchanged, while the template lines are replaced by code adding the content of the line (i.e. things after the #@) to a string variable result. Template lines can contain placeholders, included as a variable name enclosed in curly brackets ( { and } ). If those variable names are found within the local variables of the corresponding kmos.utils.evaluate_template call, the placeholders are replaced by the variable values. The kmos.utils.evaluate_template method accepts arbitrary keyword arguments. In addition, the kmos.io.ProcListWriter.write_template is passed the current instance of the ProcListWriter class as self, the loaded kMC model information (i.e. the kmos.types.Project) instance as data and an options dictionary with additional settings as options.

With such template files it is possible to include some programmatically dependence on the model characteristics and other settings to an otherwise mostly static file. For example, in the proclist_constants.mpy template, the following text

```python
for i, process in enumerate(self.data.process_list):
    ip1 = i + 1
    #@ integer(kind=iint), parameter, public :: {process.name} = {ip1}
```

is used to hard-coded the name constants used throughout the code to reference a process’ index.

The write_proclist method

The scheme above shows the methods called by kmos.io.ProcListWriter.write_proclist to write proclist.f90 and, for lat_int and otf, related files (proclist_constants.f90, proclist_pars.f90, run_proc_*.f90, nli_*.f90). All these kmos.io.ProcListWriter.write_proclist_* methods take an out argument which is a file object to which the code is to be written and most take a data argument which is an instance of kmos.types.Project containing the abstract kMC model definition. Many of them also take a code_generator keyword argument with the backend’s name. In what follows we briefly describe each of the individual methods. For clarity, they have been categorized according to the backend by which they are used. In cases in which the same routine is called to more than one backend, the description is presented only once.

Methods called to build local_smart source code

write_proclist_generic_part

This routine is only used by the local_smart backend. “Generic part” refers to the auxiliary constants defined in proclist (which exist in a separate file in lat_int and otf) and the functions whose code does not depend on the process details (e.g. proclist/do_kmc_steps).
Fig. 2.10: Routines used to write `proclist` and associated modules for the different backends.
**write_proclist_constants**

Uses the `proclist_constants.mpy` template to generate code defining named constants for the indices of each process and each species on the model. In `local_smart` this is added at the top of the `proclist.f90` file; in `lat_int` and `otf` this is included separately as the `proclist_constants.f90` file.

**write_proclist_generic_subroutines**

Uses the `proclist_generic_subroutines.mpy` template to write several routines not directly related with the tree search of process update, namely: `do_kmc_steps`, `do_kmc_step`, `get_next_kmc_step`, `get_occupation`, `init`, `initialize_state` and (only for `otf`) `recalculate_rates_matrix`.

**write_proclist_run_proc_nr_smart**

Writes the `proclist/run_proc_nr` function, which calls `put` and `take` routines according to the process selected by `base/determine_procsite`. This is basically a nested for-loop, first over the processes and then over the actions of such process. The only tricky part is to input correctly the relative coordinate for which the `take` and `put` routines need to be called. This is done with the help of the `kmos.types.Coord.radd_ff` method.

**write_proclist_put_take**

This is the most complex part of the `local_smart` code generator, in charge of writing a `put` and a `take` routine for each combination of site type and species in the model (except for the default species). These routines need to decide which events to activate or deactivate given an specific change in the lattice state.

The `write_proclist_put_take` is organized as several nested for loops. The outermost goes through each species in the model, the following through each layer and site type, and the next through the two possibilities, `put` and `take`. At this point, a specific `put_<species>_layer_<site>` or `take_<species>_layer_<site>` subroutine is being written.

For each of these routines, it is necessary to check which events (located relative to the affected site) can potentially be activated or deactivated by the operation being executed. This is done with further nested loops, going through each process and then through each condition of such process.

If a fulfilling match is found (i.e. the species and site type of the condition matches the site and species of a `put` routine or there is a condition associated to the default species on the site affected by a `take` routine) a marker to the corresponding process is stored in the `enabled_procs` list. This marker is a nested tuple with the following structure:

- first a list of `kmos.types.ConditionAction` objects (see below)
- then a tuple containing
  - the name of the process
  - the relative executing coordinate of the process with respect to the matching condition
  - a constant True value.

The list of `ConditionAction` objects contain an entry for each of the conditions of the given process, except for the condition that matched. The species are the same, but the coordinates of the these new `ConditionAction` objects are relative to the the coordinate of the matching condition. This way, we gain access to the position of the conditions of the events that can potentially be activated by the `put` or `take` routine relative to the position that is being affected in the surface. Note that potentially more than one marker could be added to the list for a given process. This would correspond to the possibility of different events associated to the same process being activated.
If an unfulfilling match is found, a tuple is added to the `disabled_procs` list. This tuple contains:

- the process object and
- the relative position of the process with respect to the matching condition

There is less information in this case because the logic for disabling processes is much simpler than that for enabling them.

Once these `enabled_procs` and `disabled_procs` lists have been collected, a `del_proc` statement for each event in `disabled_procs` is written. Finally, the routine needs to write the decision tree to figure out which events to activate. This is done by the `kmos.io.ProcListWriter._write_optimal_iftree` method, which calls itself recursively to build an optimized `select-case` tree.

`_write_optimal_iftree` expects an object with the same structure as the `enabled_procs` list as input. This is called `items` in the method’s body. At the start, each entry of the list corresponds to an event that potentially needs to be activated. Associated to each of those, there is a list of all conditions missing for this events to be activated. If in the initial call to `_write_optimal_iftree` one of the events has no missing conditions (i.e. the corresponding list is empty), this means that their only condition was whatever the `put` or `take` routine provided. Consequently, the first step this method takes is to write a call to `add_proc` for those events (if any). Such events are then be removed from the `items` list.

Next the procedure that heuristically optimizes the if-tree starts. From `items`, it is possible to obtain the `most frequent coordinate`, i.e. that which appears most often within the lists of missing conditions. Such coordinate is selected to be queried first in the `select-case` tree. The possible cases correspond to the different possible species adsorbed at this coordinate. The routine iterates through those. For each species, it writes first the `case` statement. Then, the processes in `items` whose condition in the `most frequent coordinate` matches the current species are added to a reduced items list called `nested_items`. Next, the condition in the `most frequent coordinate` will be removed from the `nested_items`, creating the `pruned_items` list. This reduced list is used as input for a successive call to `_write_optimal_iftree`. The events that where included in `nested_items` are then removed from the `items` list.

It is possible (likely) that not all events will be have conditions in the most frequent coordinate. If this is the case, `_write_optimal_iftree` need to be called again to start an additional top-level case-tree to explore those processes.

In this way, further calls are made to `_write_optimal_iftree`, each of which in which the `items` list is shorter, of the item themselves contain fewer conditions. These calls “branch out”, but each branch eventually leads to calls with empty `items` list, which closes the corresponding branch. The decision tree finishes writing when all elements of `enabled_procs` have been exhausted.

**write_proclist_touchup**

This routine is in charge of writing the `proclist/touchup_<layer>_site`, one for each site type. These routines update the state of the lattice, one site at a time.

They first delete all possible events with executing coordinate in the current site. Then, they collect a list of all processes with executing coordinate matching the current site type. The list is built with the same structure as the `enabled_procs` list described in section (see *here*). This is then fed to the `_write_optimal_subtree` method, to build a decision tree that can decide which of those process are to be turned-on given the current state of the lattice.
TODO write_proclist_multilattice

write_proclist_end

This simply closes the proclist module with end module proclist.

Methods called to build lat_int source code

write_proclist_lat_int

This writes the header of the proclist.f90 file for lat_int and then calls several write_proclist_lat_int_* functions in charge of writing the different routines of the module. Before it can do this, it needs to call _get_lat_int_groups, a method that finds all lateral interaction groups and returns them as a dictionary. This dictionary has the names of the groups as keys and the corresponding lists of processes as values. The name of a group is the name of the process within it with the lowest index (this coincides with the first process in the group when sorted alphabetically).

write_proclist_lat_int_run_proc_nr

This function is similar to its local_smart counterpart (see here). The only difference is that this routine needs to decide between lateral interaction groups instead of individual processes, as selecting the individual process within the group is done by the nli_* subroutines. For this reason, the indices of all processes of a group are included inside the case( ... ) statements.

write_proclist_lat_int_touchup

Writing the touchup functions is much simpler here than in local_smart, as here we can rely on the nli_* functions (see here). As in local_smart, all processes are deleted (just in case they were activated). Then add_proc is called for each lateral interaction group, using the result of the corresponding nli_<lat_int_group> function as input. Thus, an event will be added only if that function returns non-zero.

write_proclist_lat_int_run_proc

This method writes a run_proc_<lat_int_nr> module for each lateral interaction group. Each of these modules is located in its own file. The first step for writing the modules consists of finding all lateral interaction event groups which are affected by the actions of the current lateral interaction group. These are included in the list modified_procs. Once the list is built, a del_proc call is written for each of them, using the results of the corresponding nli_<lat_int_group> as argument. Then, it writes calls to replace_species to update the lattice. Finally a call to add_proc is added for each element of modified_procs, using the corresponding nli_<lat_int_group> as argument.

write_proclist_lat_int_nli_casetree

This method writes the nli_* routines, which decide which, if any, of the processes in a lateral interaction group can be executed in a given site of the lattice. For this, the method builds a nested dictionary, case_tree, which encodes the decision tree. This is then translated into a select-case Fortran block by the kmos.io._casetree_dict function.
Methods called to build otf source code

write_proclist_pars_otf

This method is only used by the otf backend. It is in charge of writing the proclist_pars.f90 file. This module has two main roles: the first is to provide access to the user-defined parameters and other physical parameters and constants at the Fortran level. The second, to provide the routines which evaluate the rate constants during execution.

The routine first writes the declaration of the userpar array, used to store the value of the user-defined parameters. In addition, auxiliary integer constants (named as the parameters in the model) are declared to help with the indexing of this array. The _otf_get_auxiliary_params method is used to collect lists of constants, including the definitions of physical units, atomic masses and chemical potentials used in the rate expressions in the model. The constants and atomic masses are declared as constants with their corresponding value (evaluated using kmos. evaluate_rate_expression). If needed, a chempot array is included, which is used to store the value of the chemical potentials used in the model (auxiliary indexing variables are also included for this array).

In addition, this method writes a routine to update userpar from the Python interface, and another to read the values of such array. If needed, a routine to update chempots is also added.

In addition, this routine writes the functions used to evaluate the rate constants during execution. For each process, a gr_<process_name> and a rate_<process_name> are written. gr_<process_name> loops through all the bystanders to count how many neighbors of a given species there is for each “flag” associated to the process (see as determined by its bystanders). These counts are accumulated in the nr_vars array. This array is used as input to the corresponding rate_<process_name> routine. The content of this routine is directly obtained from the otf_rate attribute of the the kmos.types.Process object. This user-defined string is processed by the _parse_otf_rate method to replace the standard parameter and constant names with the names understood by this Fortran module.

write_proclist_touchup_otf

This method writes the subroutines used to initialize the state of the bookkeeping arrays at the start of a simulation. For this, it calls the _write_optimal_iftree_otf with all possible events associated to the current site (i.e. with all processes). The routine _write_optimal_iftree_otf is very similar to the _write_optimal_iftree routine described used by local_smart’s write_proclist_run_proc_nr_smart (see here). The most remarkable difference is that in otf the add_proc routine needs to be called with the result of a gr_<proc_name> routine as an argument (to evaluate the current value of the event’s rate constant).

write_proclist_run_proc_nr_otf

The subroutine written by this method is very similar to its counterpart in the lat_int backend, only needing to decide which specific run_proc_<procname> function to call.

write_proclist_run_proc_name_otf

The run_proc_<proc_name> routines are the ones in charge of updating the bookkeeping arrays once a given event has been selected for execution. They are similar to their counterpart in lat_int in that there is one for each lateral interaction group. In otf there is only one process per “lateral interaction group”, so there is one such routine per process. They are also similar to the put_* and take_* subroutines from local_smart because they use very similar logic to build the hardcoded decision trees. The main difference between these backends is that the run_proc_<proc_name> routines of otf implement decision trees that take into account the changes in all sites affected by a process, while in local_smart put_* and take_* routines consider only an elementary change to a single site.
The first thing that `write_proclist_run_proc_name_otf` does is to collect a list with all the events for which one of the actions of the executing process unfulfills a condition (inh_procs), a list with all the processes for which they fulfill a condition (enh_procs) and a list with all the processes for which they modify the state of one of the bystanders (aff_procs). The processes that are included in inh_procs list are excluded from the other two lists.

Once this is done, calls to `del_proc` are written for all processes in inh_procs. Then, calls to the `replace_species` subroutine are added, so as to update the lattice according to the actions of the executing process. Afterwards, the subroutine `update_rates_matrix` is called for each process in aff_procs to update the corresponding rate constant.

As in the case of local_smart the most complex operation is that of activating processes, as the state of the lattice needs to be queried efficiently. To do this, a new list, enabling_items, is built based on the enh_procs list. enabling_items contains an entry for each process in enh_procs. These entries are tuples containing:

- a list of conditions which are not satisfied by the executing event
- a tuple containing:
  - the name of the process
  - the relative position of the process with respect to the coordinate of the executing process
  - a constant True value.

This list is analogous to the enabled_procs list used by the write_proclist_put_take routine of the local_smart backend (see here). This list is used as input for the `_write_optimal_iftree_otf` method. This is very similar to the `_write_optimal_iftree`, with the only difference that calls to `add_proc` also need to include the result of the gr_<proc_name> functions as arguments.
3.1 Command Line Interface (CLI)

Entry point module for the command-line interface. The kmos executable should be on the program path, import this module’s main function and run it.

To call kmos command as you would from the shell, use

```python
kmos.cli.main('...')
```

Every command can be shortened as long as it is non-ambiguous, e.g.

```bash
kmos ex <xml-file>
```

instead of

```bash
kmos export <xml-file>
```

etc.

3.1.1 List of commands

- **kmos benchmark** Run 1 mio. kMC steps on model in current directory and report runtime.
- **kmos build** Build kmc_model.so from *f90 files in the current directory.
  
  Additional Parameters ::
  
  - `-d/-debug` Turn on assertion statements in F90 code
  - `-n/-no-compiler-optimization` Do not send optimizing flags to compiler.
- **kmos edit <xml-file>** Open the kmos xml-file in a GUI to edit the model.
**kmos** export `<xml-file> [export-path]>` Take a kmos xml-file and export all generated source code to the export-path. There try to build the kmc_model.so.

Additional Parameters

```
-s/--source-only
  Export source only and don't build binary

-b/--backend (local_smart|lat_int)
  Choose backend. Default is "local_smart". lat_int is EXPERIMENTAL and not made for production, yet.

-d/--debug
  Turn on assertion statements in F90 code. (Only active in compile step)

  --acf
    Build the modules base_acf.f90 and proclist_acf.f90. Default is false. This both modules contain functions to calculate ACF (autocorrelation function) and MSD (mean squared displacement).

-n/--no-compiler-optimization
  Do not send optimizing flags to compiler.
```

**kmos help `<command>`** Print usage information for the given command.

**kmos help all** Display documentation for all commands.

**kmos import `<xml-file>`** Take a kmos xml-file and open an ipython shell with the project_tree imported as pt.

**kmos rebuild** Export code and rebuild binary module from XML information included in kmc_settings.py in current directory.

Additional Parameters ::

```
-d/--debug
  Turn on assertion statements in F90 code
```

**kmos run**

Open an interactive shell and create a KMC_Model in it run == shell

**kmos settings-export `<xml-file> [export-path]>`** Take a kmos xml-file and export kmc_settings.py to the export-path.

**kmos shell**

Open an interactive shell and create a KMC_Model in it run == shell

**kmos version** Print version number and exit.

**kmos view** Take a kmc_model.so and kmc_settings.py in the same directory and start to simulate the model visually.

Additional Parameters ::

```
-v/--steps-per-frame <number>
  Number of steps per frame
```

**kmos xml** Print xml representation of model to stdout

---

**kmos Documentation, Release 0.3.21**
3.2 Data Types

3.2.1 kmos.types

Holds all the data models used in kmos.

class kmos.types.Project

A Project is where (almost) everything comes together. A Project holds all other elements needed to describe one kMC Project ready to be manipulated, exported, or imported.

The overall structure is the following as is also displayed in the editor GUI.

Project:

- Meta
- Parameters
- Lattice(s)
- Species
- Processes

add_layer(*layers, **kwargs)

Add a layer to the project. A Layer, or keywords that are passed to the Layer constructor are accepted.

Parameters

- layers(list) – List of layers.
- cell(np.array (3x3)) – Size of unit-cell.
- default_layer(str.) – name of default layer.

add_parameter(*parameters, **kwargs)

Add a parameter to the project. A Parameter, or keywords that are passed to the Parameter constructor are accepted.

Parameters

- name(str) – The name of the parameter.
- value(float) – Default value of parameter.
- adjustable(bool) – Create controller in GUI.
- min(float) – Minimum value for controller.
- max(float) – Maximum value for controller.
- scale(str) – Controller scale: ‘log’ or ‘lin’

add_process(*processes, **kwargs)

Add a process to the project. A Process, or keywords that are passed to the Process constructor are accepted.

Parameters

- name(str) – Name of process.
- rate_constant(str) – Expression for rate constant.
- condition_list(list.) – List of conditions (class Condition).
- action_list(list.) – List of conditions (class Action).
- enabled(bool.) – Switch this process on or of.
• **chemical_expression** (*str.*) – Chemical expression (i.e: \texttt{A@site1 + B@site2} -> \texttt{empty@site1 + AB@site2}) to generate process from.

• **tof_count** (*dict.*) – Stoichiometric factor for observable products {‘NH3’: 1, ‘H2O(gas)’: 2}. Hint: avoid space in keys.

**add_site** (**kwargs**)
Add a site to the project. The arguments are

``` python
add_site(layer_name, site)
```

**Parameters**

- **name** (*str*) – Name of layer to add the site to.
- **site** (*Site*) – Site instance to add.

**add_species** (**species, **kwargs**)
Add a species to the project. A Species, or keywords that are passed to the Species constructor are accepted.

``` python
add_species(species)
```

**Parameters**

- **name** (*str*) – Name of species.
- **color** (*str*) – Color of species in editor GUI (#ffffff hex-type specification).
- **representation** (*str*) – \texttt{ase.atoms.Atoms} constructor describing species geometry.
- **tags** (*str*) – Tags of species (space separated string).

**get_parameters** (**pattern=None**)
Return list of parameters in Project.

**Parameters**

- **pattern** (*str*) – Pattern to \texttt{fnmatch} name of parameter against.

**get_processes** (**pattern=None**)
Return list of processes.

**Parameters**

- **pattern** (*str*) – Pattern to \texttt{fnmatch} name of process against.

**get_speciess** (**pattern=None**)
Return list of species in Project.

**Parameters**

- **pattern** (*str*) – Pattern to \texttt{fnmatch} name of process against.

**import_xml_file** (*filename*)
Takes a filename, validates the content against \texttt{kmc_project.dtd} and import all fields into the current project tree.

**parse_and_add_process** (*string*)
Generate and add processes using a shorthand notation like, e.g. :: \texttt{process_name; species1A@coord1 + species2A@coord2 + . . . -> species1B@coord1 + species2A@coord2 + . . .; rate_constant_expression}.

**Parameters**

- **string** (*str*) – shorthand notation for process

**parse_process** (*string*)
Generate processes using a shorthand notation like, e.g. :: \texttt{process_name; species1A@coord1 + species2A@coord2 + . . . -> species1B@coord1 + species2A@coord2 + . . .; rate_constant_expression}.

**Parameters**

- **string** (*str*) – shorthand notation for process
validate_model()
Run various consistency and completeness test of the model to make sure we have a minimally complete model.

class kmos.types.Meta(*args, **kwargs)
Class holding the meta-information about the kMC project

class kmos.types.Parameter(**kwargs)
A parameter that can be used in a rate constant expression and defined via some init file.

Parameters
- name (str) – The name of the parameter.
- adjustable (bool) – Create controller in GUI.
- min (float) – Minimum value for controller.
- max (float) – Maximum value for controller.
- scale (str) – Controller scale: ‘log’ or ‘lin’

class kmos.types.LayerList(**kwargs)
A list of layers

Parameters
- cell (np.array (3x3)) – Size of unit-cell.
- default_layer (str.) – name of default layer.

generate_coord(terms)
Expecting something of the form site_name.offset.layer and return a Coord object

generate_coord_set(size=[1, 1, 1], layer_name='default', site_name=None)
Generates a set of coordinates around unit cell of any desired size. By default it includes exactly all sites in the unit cell. By setting size=[2,1,1] one gets an additional set in the positive and negative x-direction.

class kmos.types.Layer(**kwargs)
Represents one layer in a possibly multi-layer geometry.

Parameters
- name (str) – Name of layer.
- sites (list) – Sites associated with this layer (Default: [])

class kmos.types.Site(**kwargs)
Represents one lattice site.

Parameters
- name (str) – Name of site.
- pos (np.array or str) – Position within unit cell.
- tags (str) – Tags for this site (space separated).
- default_species (str) – Initial population for this site.

class kmos.types.Species(**kwargs)
Class that represent a species such as oxygen, empty, . . . . Note: empty is treated just like a species.

Parameters
- name (str) – Name of species.
- color (str) – Color of species in editor GUI (#ffffff hex-type specification).
• **representation** (*str*) – *ase.atoms.Atoms* constructor describing species geometry.

• **tags** (*str*) – Tags of species (space separated string).

**class** kmos.types.Process(**kwargs**)

One process in a kMC process list

**Parameters**

• **name** (*str*) – Name of process.

• **rate_constant** (*str*) – Expression for rate constant.

• **otf_rate** (*str*) – Expression used to calculate rate on the fly using bystander’s configuration, otf backend only!

• **condition_list** (*list.*) – List of conditions (class Condition).

• **action_list** (*list.*) – List of conditions (class Action).

• **bystander_list** (*list.*) – List of bystanders (class Bystander), otf backend only!

• **enabled** (*bool.*) – Switch this process on or off.

• **chemical_expression** (*str.*) – Chemical expression (i.e: A@site1 + B@site2 -> empty@site1 + AB@site2) to generate process from.

• **tof_count** (*dict.*) – Stoichiometric factor for observable products (‘NH3’: 1, ‘H2Ogas’: 2). Hint: avoid space in keys.

**class** kmos.types.ConditionAction(**kwargs**)

Represents either a condition or an action. Since both have the same attributes we use the same class here, and just store them in different lists, depending on its role. For better readability one can also use *Condition* or *Action* which are just aliases.

**Parameters**

• **coord** (*Coord*) – Relative Coord (generated by *LayerList.generate_coord()* or *Lattice.generate_coord_set()*).

• **species** (*str*) – Name of species.

**class** kmos.types.Coord(**kwargs**)

Class that holds exactly one coordinate as used in the description of a process. The distinction between a Coord and a Site may seem superfluous but it is made to avoid data duplication.

**Parameters**

• **name** (*str*) – Name of coordinate.

• **offset** (*np.array or list*) – Offset in term of unit-cells.

• **layer** (*str*) – Name of layer.

• **tags** (*str*) – List of tags (space separated string).

**pos**

pos is np.array((3, 1)) and is calculated from offset and position. Not to be set manually.

### 3.2.2 kmos.io

Features front-end import/export functions for kMC Projects. Currently import and export is supported to XML and export is supported to Fortran 90 source code.
kmos Documentation, Release 0.3.21

kmos.io.export_source(project_tree, export_dir=None, code_generator=None, options=None)
Export a kmos project into Fortran 90 code that can be readily compiled using f2py. The model contained in project_tree will be stored under the directory export_dir. export_dir will be created if it does not exist. The XML representation of the model will be included in the kmc_settings.py module.

export_source is the central feature of the kmos approach. In order to generate different backend solvers, additional candidates of this methods could be implemented.

kmos.io.export_xml(project_tree, filename=None)
Writes a project to an XML file.

class kmos.io.ProcListWriter(data, dir)
Write the different parts of Fortran 90 code needed to run a kMC model.

write_proclist(smart=True, code_generator='local_smart')
Write the proclist.f90 module, i.e. the rules which make up the kMC process list.

write_settings(code_generator='lat_int')
Write the kmc_settings.py. This contains all parameters, which can be changed on the fly and without recompilation of the Fortran 90 modules.

3.3 Editor frontend

3.3.1 kmos.gui

A GUI frontend to create and edit kMC models.

class kmos.gui.Editor
The editor GUI frontend.

class kmos.gui.GTKProject(parent, menubar)
A facade of kmos.types.Project so that pygtk can display in a TreeView.

3.3.2 kmos.forms

3.4 Runtime frontend

3.4.1 kmos.run

A front-end module to run a compiled kMC model. The actual model is imported in kmc_model.so and all parameters are stored in kmc_settings.py.

The model can be used directly like so:

```python
from kmos.model import KMC_Model
model = KMC_Model()
model.parameters.T = 500
model.do_steps(100000)
model.view()
```

which, of course can also be part of a python script.
The model can also be run in a different process using the multiprocessing module. This mode is designed for use with a GUI so that the CPU intensive kMC integration can run at full throttle without impeding the front-end. Interaction with the model happens through Queues.

```python
from kmos.run import ModelRunner, PressureParameter, TemperatureParameter

class ScanKinetics(ModelRunner):
    p_O2gas = PressureParameter(1)
    T = TemperatureParameter(600)
    p_COgas = PressureParameter(min=1, max=10, steps=40)
    # ... other parameters to scan

    ScanKinetics().run(init_steps=1e7, sample_steps=1e7, cores=4)
```

```python
run (init_steps=10000000.0, sample_steps=100000000.0, cores=4, samples=1, random_seed=None)
```

Launch the ModelRunner instance. Creates a regular grid over all ModelParameters defined in the ModelRunner class.

**Parameters**

- `init_steps` – Steps to run model before sampling (i.e. to reach steady-state).
  
  (Default: 1e8) :type init_steps: int :param sample_steps: Number of steps to sample over (Default: 1e8)
  
  :type sample_steps: int :param cores: Number of parallel processes to launch.
  
  :type cores: int :param samples: Number of samples. Use more samples if precise coverages are needed (Default: 1).
  
  :type samples: int

**class kmos.run.ModelParameter (min, max=None, steps=1, type=None, unit="")**

A model parameter to be scanned. If instantiated with only one value this parameter will be fixed at this value.

Use a subclass for specific type of grid.

**Parameters**

- `min (float)` – Minimum value for this parameter.
  
  (Default: min)

- `max (float)` – Maximum value for this parameter
  
  (Default: min)

- `steps (int)` – Number of steps between minimum and maximum.

**class kmos.run.PressureParameter (*args, **kwargs)**

Create a grid of \( p \) in \([p_{\text{min}}, p_{\text{max}}]\) such that \( \ln(p) \) is a regular grid.

**class kmos.run.TemperatureParameter (*args, **kwargs)**

Create a grid of \( p \) in \([T_{\text{min}}, T_{\text{max}}]\) such that \((T)^{-1}\) is a regular grid.

**class kmos.run.LinearParameter (*args, **kwargs)**

Create a regular grid between min and max.

**class kmos.run.LogParameter (*args, **kwargs)**

Create a log grid between \( 10^\text{min} \) and \( 10^\text{max} \) (like \text{np.linspace}).

**class kmos.run.KMC_Model (image_queue=None, parameter_queue=None, signal_queue=None, size=None, system_name='kmc_model', banner=True, print_rates=False, autosend=True, steps_per_frame=50000, random_seed=None, cache_file=None)**

API Front-end to initialize and run a kMC model using python bindings. Depending on the constructor call the
model can be run either via directory calls or in a separate processes access via multiprocessing.Queues. Only one model instance can exist simultaneously per process.

```python
_model._adjust_database()
```

Set the database of processes currently possible according to the current configuration.

```python
_model._get_configuration()
```

Return current configuration of model.

**Return type** np.array

```python
_model._put([site, new_species, reduce=False])
```

Works exactly like put, but without updating the database of available processes. This is faster for when one does a lot updates at once, however one must call _adjust_database afterwards.

**Examples**

```python
model._put([0,0,0,model.lattice.lattice_bridge], model.proclist.co])
# puts a CO molecule at the `bridge` site of the lower left unit cell
model._put([1,0,0,model.lattice.lattice_bridge], model.proclist.co ])  
# puts a CO molecule at the `bridge` site one to the right
# ... many more
model._adjust_database() # Important !
```

**Parameters**

- **site** *(list or np.array)* – Site where to put the new species, i.e. [x, y, z, bridge]
- **new_species** *(str)* – Name of new species.
- **reduce** *(bool)* – Of periodic boundary conditions if site falls out site lattice (Default: False)

```python
_model._set_configuration(config)
```

Set the current lattice configuration.

Expects a 4-dimensional array, with dimensions [X, Y, Z, N] where X, Y, Z are the lattice size and N the number of sites in each unit cell.

**Parameters** config *(np.array)* – Configuration to set for model. Shape of array has to match with model size.

```python
_model.deallocate()
```

Deallocate all arrays that are allocated by the Fortran module. This needs to be called whenever more than one simulation is started from one process.

Note that the currenty state and history of the system is lost after calling this method.

Note: explicit invocation was chosen over the __del__ method because there seems to easy portable way to control garbage collection.

```python
_model.do_steps(n=10000, progress=False)
```

Propagate the model n steps.

**Parameters**

- **n** *(int)* – Number of steps to run (Default: 10000)
- **progress** *(bool)* – Number of steps to run (Default: 10000)

```python
_model.double()
```

Double the size of the model in each direction and initialize larger model with current configuration in each copy.

### 3.4. Runtime frontend
dump_config(filename)
Use numpy mechanism to store current configuration in a file.

Parameters filename (str) – Name of file, to write configuration to.

export_movie((frames=30, skip=1, prefix='movie', rotation='15z, -70x', suffix='png', verbose=False, **kwargs))

Export series of snapshots of model instance to an image file in the current directory which allows for easy post-processing of images, e.g. using ffmpeg

```bash
avconv -i movie_%06d.png -r 24 movie.avi
```

or

```bash
ffmpeg -i movie_%06d.png -f image2 -r 24 movie.avi
```

Allows suffixes are png, pov, and eps. Additional keyword arguments (kwargs) are passed directly the ase.io.write of the ASE library.

When exporting to *.pov, one has to manually povray each *.pov file in the directory which is as simple as typing

```bash
for pov_file in *.pov
do
    povray ${pov_file}
done
```

using bash.

**param frames** Number of frames to records (Default: 30).

**type frames** int

**param skip** Number of kMC steps between frames (Default: 1).

**type skip** int

**param prefix** Prefix for filename (Default: movie).

::type

```bash
subroutine update_clocks_acf(ran_time)
FUNCTION !** update_clocks_acf **!
    Updates walltime, kmc_step, kmc_step_acf, time_intervalls and kmc_time.
    @A. Garhammer 2015——#@
    ARGUMENTS @# ! @# ! @# ! @# ! @# ! @# ! @# ! @# !
    ran_time Random real number \in \mathbb{[0,1]}#@

    real(kind=rsingle), intent(in) :: ran_time #@
    real(kind=rsingle) :: runtime #@

    ! Make sure ran_time is in the right interval #@
    ASSERT(ran_time.ge.0.,"base/update_clocks: ran_time variable has to be positive.") #@
    ASSERT(ran_time.le.1.,"base/update_clocks: ran_time variable has to be less than 1.") #@

    ! Make sure the difference is not so small, that it is rounded off #@
    ASSERT(ran_time+kmc_time_step>kmc_time,"base/update_clocks: precision of kmc_time is not sufficient") #@

    !call CPU_TIME(runtime) #@

    time_intervalls = kmc_time_step*accum_rates(nr_of_proc) #@
    kmc_time = -log(ran_time)/accum_rates(nr_of_proc) #@
    kmc_step = int(kmc_time) #@
    kmc_step_acf = int(kmc_time+kmc_time_step) #@

    kmc_step = max(kmc_step,0) #@
    kmc_step_acf = max(kmc_step_acf,0) #@

    ! kmc_step_acf = kmc_step #@

    ! update walltime #@
    runtime = runtime + kmc_time #@

    ! update kmc_step #@
    kmc_step = kmc_step + 1 #@

    ! update kmc_step_acf #@
    kmc_step_acf = max(kmc_step_acf,0) #@

    ! update time_intervalls #@
    time_intervalls = time_intervalls + kmc_time_step #@

    ! update kmc_time #@
    kmc_time = kmc_time + kmc_time_step #@

    ! update kmc_time_acf #@
    kmc_time_acf = kmc_time + kmc_time_step #@

    ! update cpu_time #@
    call CPU_TIME(runtime) #@
end subroutine update_clocks_acf
```
get_atoms(\texttt{geometry=}True, \texttt{tag=}None, \texttt{reset\_time\_overrun=}False)  
Return an ASE Atoms object with additional information such as coverage and Turn-over-frequencies attached.

The additional attributes are:

- \texttt{info} (extra tags assigned to species)
- \texttt{kmc\_step}
- \texttt{kmc\_time}
- \texttt{occupation}
- \texttt{procstat}
- \texttt{integ\_rates}
- \texttt{tof\_data}

\texttt{tof\_data contains previously defined TOFs in reaction per seconds per} cell sampled since the last call to \texttt{get\_atoms()}

\texttt{info} can be used to better visualize similar looking molecule during post-processing

\texttt{procstat holds the number of times each process was executed since} last \texttt{get\_atoms()} call.

Parameters \texttt{geometry=} (bool) – Return ASE object of current configuration (Default: True).

get_backend()  
Return name of backend that model was compiled with.

Return type \texttt{str}

get_occupation_header()  
Return the names of the fields returned by \texttt{self.get\_atoms()}.occupation. Useful for the header line of an ASCII output.

get_param_header()  
Return the names of field return by \texttt{self.get\_atoms()}.params. Useful for the header line of an ASCII output.

get_std_sampled_data(samples, sample\_size, tof\_method='integ', output='str', show\_progress=False)  
Sample an average model and return TOFs and coverages in a standardized format:

[parameters] [TOFs] [occupations] kmc\_time kmc\_step

Parameter tof\_method allows to switch between two different methods for evaluating turn-over-frequencies. The default method \texttt{procstat} evaluates the procstat counter, i.e. simply the number of executed events in the simulated time interval. \texttt{integ} will evaluate the number of times the reaction \texttt{could} be evaluated in the simulated time interval based on the local configurations and the rate constant.

Credit for this latter method has to be given to Sebastian Matera for the idea and implementation.

In each case check carefully that the observable is sampled good enough!

Parameters

- \texttt{samples} – Number of batches to average coverages over.
- \texttt{sample\_size} (int) – Number of kMC steps in total.
- \texttt{tof\_method} (str) – Method of how to sample TOFs. Possible values are procrates or \texttt{integ}. While procrates only counts the processes actually executed, \texttt{integ} evaluates the configuration to estimate the actual rates. The latter can be several orders more efficient.
for very slow processes. Differences resulting from the two methods can be used as an estimate for the statistical error in samples.

**get_tof_header()**
Return the names of the fields returned by self.get_atoms().tof_data. Useful for the header line of an ASCII output.

**halve()**
Halve the size of the model and initialize each site in the new model with a species randomly drawn from the sites that are reduced onto one. It is necessary that the simulation size is even.

**load_config(filename)**
Use numpy mechanism to load configuration from a file. User must ensure that size of stored configuration is correct.

Parameters

- **filename (str)** - Name of file, to write configuration to.

**nr2site(n)**
Accepts a site index and return the site in human readable coordinates.

Parameters

- **n (int)** - Index of site.

Return type

**str**

**post_mortem(steps=None, propagate=False, err_code=None)**
Accepts an integer and generates a post-mortem report by running that many steps and returning which process would be executed next without executing it.

Parameters

- **steps (int)** - Number of steps to run before exit occurs (Default: None).
- **propagate (bool)** - Run this one more step, where error occurs (Default: False).
- **err_code (str)** - Error code generated by backend if project.meta.debug > 0 at compile time.

**print_accum_rate_summation(order=-'rate', to_stdout=True)**
Shows rate individual processes contribute to the total rate.

The optional argument order can be one of: name, rate, rate_constant, nrofsites. You precede each keyword with a ‘-‘, to show in decreasing order. Default: ‘-rate’. Possible values are rate, rate_constant, name, nrofsites.

**print_adjustable_parameters(match=None, to_stdout=True)**
Print those methods that are adjustable via the GUI.

Parameters

- **pattern (str)** - fname pattern to limit the parameters.

**print_coverages(to_stdout=True)**
Show coverages (per unit cell) for each species and site type for current configurations.

**procstat_normalized(match=None)**
Print an overview view process names along with the number of times it has been executed divided by the current rate constant times the kmc time.

Can help to find those processes which are kinetically hindered.

Parameters

- **match (str)** - fname pattern to filter matching parameter name.

**procstat_pprint(match=None)**
Print an overview view process names along with the number of times it has been executed.

Parameters

- **match (str)** - fname pattern to filter matching parameter name.
**put** *(site, new_species, reduce=False)*

Puts new_species at site. The site is given by 4-entry sequence like [x, y, z, n], where the first 3 entries define the unit cell from 0 to the number of unit cells in the respective direction. And n specifies the site within the unit cell.

The database of available processes will be updated automatically.

Examples

```python
model.put([0,0,0,model.lattice.site], model.proclist.co])
# puts a CO molecule at the 'bridge' site
# of the lower left unit cell
```

**Parameters**

- **site** *(list or np.array)* – Site where to put the new species, i.e. [x, y, z, bridge]
- **new_species** *(str)* – Name of new species.
- **reduce** *(bool)* – Of periodic boundary conditions if site falls out site lattice (Default: False)

**run** ()

Runs the model indefinitely. To control the simulations, model must have been initialized with proper Queues.

**show** (*args, **kwargs)*

Visualize the current configuration of the model using ASE ag.

**start** ()

Start child process

**view** ()

Start current model in live view mode.

**xml** ()

Returns the XML representation that this model was created from.

**class** kmos.run.Model_Rate_Constants

Holds all rate constants currently associated with the model. To inspect the expression and current settings of it you can just call it as a function with a (glob) pattern that matches the desired processes, e.g.

```python
model.rate_constant('*.ads*')
```

could print all rate constants for adsorption. Given of course that ‘ads’ is part of the process name. The just get the rate constant for one specific process you can use

```python
model.rate_constant.by_name("<process name>")
```

To set rate constants manually use

```python
model.rate_constants.set("<pattern>", <rate-constant (expr.>)>
```

**__call__** *(pattern=None, interactive=False, model=None)*

Return rate constants.

**Parameters**

- **pattern** *(str)* – frame pattern to filter matching parameter name.
• **model** *(kmos Model)* – runtime instance of kMC to extract rate constants from (optional)

  **by_name** *(proc)*
  Return rate constant currently set for proc

  **Parameters proc** *(str)* – Name of process.

  **inverse** *(interactive=False)*
  Return inverse list of rate constants.

```python
class kmos.run.Model_Parameters(print_rates=True)
Holds all user defined parameters of a model in concise form. All user defined parameters can be accessed and set as attributes, like so
```

```python
model.parameters.<parameter> = X.Y
```

```python
__call__ (match=None, interactive=False)
Return parameters that match ‘pattern’
```

  **Parameters match** *(str)* – fname pattern to filter matching parameter name.

### 3.4.2 kmos.view

Run and view a kMC model. For this to work one needs a kmc_model.(so/pyd) and a kmc_settings.py in the import path.

```python
class kmos.view.KMC_Viewer(model=None, steps_per_frame=50000)
A graphical front-end to run, manipulate and view a kMC model.
```

```python
exit (_widget, _event)
Exit the viewer application cleanly killing all subprocesses before the main process.
```

```python
parameter_callback (name, value)
Sent (updated) parameters to the model process.
```

### 3.4.3 kmos.cli

Entry point module for the command-line interface. The kmos executable should be on the program path, import this module’s main function and run it.

To call kmos command as you would from the shell, use

```python
kmos.cli.main('...')
```

Every command can be shortened as long as it is non-ambiguous, e.g.

```python
kmos ex <xml-file>
```

instead of

```python
kmos export <xml-file>
```

etc.

```python
kmos.cli.main(args=None)
The CLI main entry point function.
```

The optional argument args, can be used to directly supply command line argument like
$ kmos <args>
otherwise args will be taken from STDIN.

### 3.4.4 kmos.utils

Several utility functions that do not seem to fit somewhere else.

**kmos.utils.build**(options)
- Build binary with f2py binding from complete set of source file in the current directory.

**kmos.utils.evaluate_kind_values**(infile, outfile)
- Go through a given file and dynamically replace all selected_int/real_kind calls with the dynamically evaluated fortran code using only code that the function itself contains.

**kmos.utils.get_ase_constructor**(atoms)
- Return the ASE constructor string for atoms.

**kmos.utils.split_sequence**(seq, size)
- Take a list and a number n and return list divided into n sublists of roughly equal size.

**kmos.utils.write_py**(fileobj, images, **kwargs)
- Write a ASE atoms construction string for images into fileobj.

### 3.5 kmos kMC project DTD

The central storage and exchange format is XML. XML was chosen over JSON, pickle or alike because it still seems as the most flexible and universal format with good methods to define the overall structure of the data.

One way to define an XML format is by using a document type description (DTD) and in fact at every import a kmos file is validated against the DTD below.

```xml
<!ELEMENT kmc (meta?, species_list?, parameter_list?, lattice, process_list?, output_list?)>
<!ATTLIST kmc
    version CDATA #REQUIRED
>
<!ELEMENT meta EMPTY>
<!ATTLIST meta
    author CDATA #IMPLIED
    debug CDATA #IMPLIED
    email CDATA #IMPLIED
    model_dimension CDATA #IMPLIED
    model_name CDATA #IMPLIED
>
<!ELEMENT species_list (species)*>
<!ATTLIST species_list
    default_species CDATA #IMPLIED
>
<!ELEMENT species EMPTY>
<!ATTLIST species
    name CDATA #REQUIRED
    color CDATA #IMPLIED
    representation CDATA #IMPLIED
    tags CDATA #IMPLIED
>
```

---

**3.5. kmos kMC project DTD**

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3.6 Backends

In general the backend includes all functions that are implemented in Fortran90, which therefore should not have to be changed by hand often. The backend is divided into three modules, which import each other in the following way:

base <- lattice <- proclist

The key for this division is reusability of the code. The base module implement all aspects of the kMC code, which do not depend on the described model. Thus it “never” has to change. The lattice module basically repeats all methods of the base model in terms of lattice coordinates. Thus the lattice module only changes, when the geometry of the model changes, e.g. when you add or delete sites. The proclist module implements the process list, that is the species or states each site can have and the elementary steps. Typically that changes most often while developing a model.

The rate constants and physical parameters of the system are not implemented in the backend at all, since in the physical sense they are too high-level to justify encoding and compilation at the Fortran level and so they are typical read and parsed from a python script.

The `kmos.run.KMC_Model` class implements a convenient interface for most of these functions, however all public methods (in Fortran called subroutines) and variables can also be accessed directly like so:

```python
from kmos.run import KMC_Model
model = KMC_Model(print_rates=False, banner=False)
model.base.<TAB>
model.lattice.<TAB>
model.proclist.<TAB>
```

which works best in conjunction with ipython.

3.6.1 local_smart

**kmos/base**

The base kMC module, which implements the kMC method on a \(d = 1\) lattice. Virtually any lattice kMC model can be build on top of this. The methods offered are:

- de/allocation of memory
- book-keeping of the lattice configuration and all available processes
- updating and tracking kMC time, kMC step and wall time
- saving and reloading the current state
- determine the process and site to be executed

**base/accum_rates**

Stores the accumulated rate constant multiplied with the number of sites available for that process to be used by determine_procsite. Let \(c\) be the rate constants \(n\) the number of available sites, and \(a\) the accumulated rates, then \(a_i = \sum_{j=1}^{n} c_j n_j\).
base/add_proc

The main idea of this subroutine is described in del_proc. Adding one process to one capability is pro-
grammatically simpler since we can just add it to the end of the respective array in avail_sites.

- **proc** positive integer number that represents the process to be added.
- **site** positive integer number that represents the site to be manipulated

base/allocate_system

Allocates all book-keeping structures and stores local copies of system name and size(s):

- **system_name** identifier of this simulation, used as name of punch file
- **volume** the total number of sites
- **nr_of_proc** the total number of processes

base/assertion_fail

Function that shall be used by all parts of the program to print a proper message in case some assertion
fails.

- **a** condition that is supposed to hold true
- **r** message that is printed to the poor user in case it fails

base/avail_sites

Main book-keeping array that stores for each process the sites that are available and for each site the
address in this very array. The meaning of the fields are:

avail_sites(proc, field, switch)

where:

- **proc** – refers to a process in the process list
- **field** within the process, but the meaning differs as explained under ‘switch’
- **switch** – can be either 1 or 2 and switches between (1) the actual numbers of the sites, which are
  available and filled in from the left but in whatever order they come or (2) the location where the site
  is stored in (1).

base/can_do

Returns true if ‘site’ can do ‘proc’ right now

- **proc** integer representing the requested process.
- **site** integer representing the requested site.
- **can** writeable boolean, where the result will be stored.
**base/deallocate_system**

Deallocation all allocatable arrays: avail_sites, lattice, rates, accm_rates, integ_rates, procstat.

none

**base/del_proc**

Delocate one process from the main book-keeping array avail_sites. These book-keeping operations happen in O(1) time with the help of some more book-keeping overhead. avail_sites stores for each process all sites that are available. The array for each process is filled from the left, but sites generally not ordered. With this determine_procsite can effectively pick the next site and process. On the other hand a second array (avail_sites(:,:,2) ) holds for each process and each site, the location where it is stored in avail_site(:,:,1). If a site needs to be removed this subroutine first looks up the location via avail_sites(:,:,1) and replaces it with the site that is stored as the last element for this process.

- **proc** positive integer that states the process
- **site** positive integer that encodes the site to be manipulated

**base/determine_procsite**

Expects two random numbers between 0 and 1 and determines the corresponding process and site from accum_rates and avail_sites. Technically one random number would be sufficient but to circumvent issues with wrong interval_search_real implementation or rounding errors I decided to take two random numbers:

- **ran_proc** Random real number from $\in [0,1]$ that selects the next process
- **ran_site** Random real number from $\in [0,1]$ that selects the next site
- **proc** Return integer $\in [1, nr \_of \_proc$]  
- **site** Return integer $\in [1, \text{volume}]$

**base/get_accum_rate**

Return accumulated rate at a given process.

- **proc_nr** integer representing the requested process.
- **return_accum_rate** writeable real, where the requested accumulated rate will be stored.

**base/get_avail_site**

Return field from the avail_sites database

- **proc_nr** integer representing the requested process.
- **field** integer for the site at question
- **switch** 1 or 2 for site or storage location
base/get_integ_rate

Return integrated rate at a given process.

- proc_nr integer representing the requested process.
- return_integ_rate writeable real, where the requested integrated rate will be stored.

base/get_kmc_step

Return the current kmc_step

- kmc_step Writeable integer

base/get_kmc_time

Returns current kmc_time as rdouble real as defined in kind_values.f90.

- return_kmc_time writeable real, where the kmc_time will be stored.

base/get_kmc_time_step

Returns current kmc_time_step (the time increment).

- return_kmc_step writeable real, where the kmc_time_step will be stored.

base/get_kmc_volume

Return the total number of sites.

- volume Writeable integer.

base/get_nrofsites

Return how many sites are available for a certain process. Usually used for debugging

- proc integer representing the requested process
- return_nrofsites writeable integer, where nr of sites gets stored

base/get_procstat

Return process counter for process proc as integer.

- proc integer representing the requested process.
- return_procstat writeable integer, where the process counter will be stored.
base/get_rate

Return rate of given process.

- proc_nr integer representing the requested process.
- return_rate writeable real, where the requested rate will be stored.

base/get_species

Return the species that occupies site.

- site integer representing the site

base/get_system_name

Return the systems name, that was specified with base/allocate_system

- system_name Writeable string of type character(len=200).

base/get_walltime

Return the current walltime.

- return_walltime writeable real where the walltime will be stored.

base/increment_procstat

Increment the process counter for process proc by one.

- proc integer representing the process to be increment.

base/integ_rates

Stores the time-integrated rates (non-normalized to surface area) Used to determine reaction rates, i.e. average number of reactions per unit surface and time. Let \( a_i \) the integrated rates, \( c \) be the rate constants, \( n_i \) the number of available sites during kMC-time interval \( t_i \) \( \{ \Delta t_i \} \) the corresponding timesteps then \( a_i(t) \) at the time \( t = \sum_{i=1}^{n} \Delta t_i \) is calculated according to \( a_i(t) = \sum_{i=1}^{n} c_i n_i \Delta t_i \).

base/interval_search_real

This is basically a standard binary search algorithm that expects an array of ascending real numbers and a scalar real and return the key of the corresponding field, with the following modification:

- the value of the returned field is equal of larger of the given value. This is important because the given value is between 0 and the largest value in the array and otherwise the last field is never selected.
- if two or more values in the array are identical, the function return the index of the leftmost of those field. This is important because having field with identical values means that all field except the leftmost one do not contain any sites. Refer to update_accum_rate to understand why.
• the value of the returned field may not be zero. Therefore the index the to be equal or larger than the first non-zero field.

However: as everyone knows the binary search is trickier than it appears at first site especially real numbers. So intensive testing is suggested here!

• `arr` real array of type `rsingle` (kind_values.f90) in monotonically (not strictly) increasing order

• `value` real positive number from [0, max_arr_value]

**base/kmc_step**

Number of kMC steps executed.

**base/kmc_time**

Simulated kMC time in this run in seconds.

**base/kmc_time_step**

The time increment of the current kMC step.

**base/lattice**

Stores the actual physical lattice in a 1d array, where the value on each slot represents the species on that site.

Species constants can be conveniently defined in `lattice_` and later used directly in the process list.

**base/nr_of_proc**

Total number of available processes.

**base/nr_of_sites**

Stores the number of sites available for each process.

**base/procstat**

Stores the total number of times each process has been executed during one simulation.

**base/rates**

Stores the rate constants for each process in $s^{-1}$. 
base/reload_system

Restore state of simulation from *.reload file as saved by save_system(). This function also allocates the system’s memory so calling allocate_system again, will cause a runtime failure.

- system_name string of 200 characters which will make the reload_system look for a file called ./<system_name>.reload
- reloaded logical return variable, that is .true. reload of system could be completed successfully, and .false. otherwise.

base/replace_species

Replaces the species at a given site with new_species, given that old_species is correct, i.e. identical to the site that is already there.

- site integer representing the site
- old_species integer representing the species to be removed
- new_species integer representing the species to be placed

base/reset_site

This function is a higher-level function to reset a site as if it never existed. To achieve this the species is set to null_species and all available processes are stripped from the site via del_proc.

- site integer representing the requested site.
- species integer representing the species that ought to be at the site, for consistency checks

base/save_system

save_system stores the entire system information in a simple ASCII filed names <system_name>.reload. All fields except avail_sites are stored in the simple scheme:

variable value

In the case of array variables, multiple values are seperated by one or more spaces, and the record is terminated with a newline. The variable avail_sites is treated slightly differently, since printed on a single line it is almost impossible to interpret from the ASCII files. Instead each process starts a new line, and the first number on the line stands for the process number and the remaining fields, hold the values.

none

base/set_kmc_time

Sets current kmc_time as rdouble real as defined in kind_values.f90.

- new readable real, that the kmc time will be set to
**base/set_rate_const**

Allows to set the rate constant of the process with the number proc_nr.

- **proc_nr** The process number as defined in the corresponding proclist_module.
- **rate** the rate in $s^{-1}$

**base/set_system_name**

Set the systems name. Useful in conjunction with base.save_system to save *.reload files under a different name than the default one.

- **system_name** Readable string of type character(len=200).

**base/start_time**

CPU time spent in simulation at least reload.

**base/system_name**

Unique identifier of this simulation to be used for restart files. This name should not contain any characters that you don't want to have in a filename either, i.e. only [A-Za-z0-9_-].

**base/update_accum_rate**

Updates the vector of accum_rates.

`none`

**base/update_clocks**

Updates walltime, kmc_step and kmc_time.

- **ran_time** Random real number $\in [0,1]$

**base/update_integ_rate**

Updates the vector of integ_rates.

`none`

**base/volume**

Total number of sites.

**base/walltime**

Total CPU time spent on this simulation.
**kmodes/lattice**

Implements the mappings between the real space lattice and the 1-D lattice, which kmos/base operates on. Furthermore replicates all geometry specific functions of kmos/base in terms of lattice coordinates. Using this module each site can be addressed with 4-tuple \((i, j, k, n)\) where \(i, j, k\) define the unit cell and \(n\) the site within the unit cell.

**lattice/allocate_system**

Allocates system, fills mapping cache, and checks whether mapping is consistent

`none`

**lattice/calculate_lattice2nr**

Maps all lattice coordinates onto a continuous set of integer \(\in [1, volume]\)

- `site` integer array of size (4) a lattice coordinate

**lattice/calculate_nr2lattice**

Maps a continuous set of of integers \(\in [1, volume]\) to a 4-tuple representing a lattice coordinate

- `nr` integer representing the site index

**lattice/deallocate_system**

Deallocates system including mapping cache.

`none`

**lattice/default_layer**

The layer in which the model is initially in by default (only relevant for multi-lattice models).

**lattice/lattice2nr**

Caching array holding the mapping from index to lattice coordinate: \((x, y, z, n)\) -> \(i\).

**lattice/model_dimension**

Store the number of dimensions of this model: 1, 2, or 3

**lattice/nr2lattice**

Caching array holding the mapping from index to lattice coordinate: \(i\) -> \((x, y, z, n)\).
**lattice/nr_of_layers**

Constant storing the number of layers (for multi-lattice models > 1)

**lattice/site_positions**

The positions of (adsorption) site in the unit cell in fractional coordinates.

**lattice/spuck**

spuck = Sites Per Unit Cell Konstant The number of sites per unit cell, i.e. for coordinate notation (x, y, n) this is the maximum value of n.

**lattice/system_size**

Stores the current size of the allocated system lattice (x, y, z) in an integer array. In low-dimensional system, corresponding entries will be set to 1. Note that this should be thought of as a read-only variable. Changing its value at model runtime will not the indented effect of actually changing the simulated lattice. The definitive location for custom lattice size is `simulation_size` in `kmc_settings.py`.

If the system size shall be changed programmatically, it needs to happen before the `KMC_Model` is instantiated and Fortran array are allocated accordingly, like to

```python
#!/usr/bin/env python
import kmc_settings import kmos.run
kmc_settings.simulation_size = 9, 9, 4

with kmos.run.KMC_Model() as model: print(model.lattice.system_size))
```

**lattice/unit_cell_size**

The dimensions of the unit cell (e.g. in Angstrom) of the unit cell.

**kmos/proclist**

Implements the kMC process list.

**proclist/do_kmc_step**

Performs exactly one kMC step. * first update clock * then configuration sampling step * last execute process

none
proclist/do_kmc_steps

Performs n kMC step. If one has to run many steps without evaluation do_kmc_steps might perform a little better. * first update clock * then configuration sampling step * last execute process

n: Number of steps to run

proclist/get_kmc_step

Determines next step without executing it.

none

proclist/get_occupation

Evaluate current lattice configuration and returns the normalized occupation as matrix. Different species run along the first axis and different sites run along the second.

none

proclist/init

Allocates the system and initializes all sites in the given layer.

• input_system_size number of unit cell per axis.
• system_name identifier for reload file.
• layer initial layer.
• no_banner [optional] if True no copyright is issued.

proclist/initialize_state

Initialize all sites and book-keeping array for the given layer.

• layer integer representing layer

proclist/run_proc_nr

Runs process proc on site nr_site.

• proc integer representing the process number
• nr_site integer representing the site

3.6.2 lat_int

kmos/base

The base kMC module, which implements the kMC method on a $d = 1$ lattice. Virtually any lattice kMC model can be build on top of this. The methods offered are:
• de/allocation of memory
• book-keeping of the lattice configuration and all available processes
• updating and tracking kMC time, kMC step and wall time
• saving and reloading the current state
• determine the process and site to be executed

**base/accum_rates**

Stores the accumulated rate constant multiplied with the number of sites available for that process to be used by determine_procsite. Let \( c \) be the rate constants, \( n \) the number of available sites, and \( a \) the accumulated rates, then \( a_i \) is calculated according to \( a_i = \sum_{j=1}^{i} c_j n_j \).

**base/add_proc**

The main idea of this subroutine is described in del_proc. Adding one process to one capability is programmatically simpler since we can just add it to the end of the respective array in avail_sites.

• **proc** positive integer number that represents the process to be added.
• **site** positive integer number that represents the site to be manipulated

**base/allocate_system**

Allocates all book-keeping structures and stores local copies of system name and size(s):

• **system_name** identifier of this simulation, used as name of punch file
• **volume** the total number of sites
• **nr_of_proc** the total number of processes

**base/assertion_fail**

Function that shall be used by all parts of the program to print a proper message in case some assertion fails.

• **a** condition that is supposed to hold true
• **r** message that is printed to the poor user in case it fails

**base/avail_sites**

Main book-keeping array that stores for each process the sites that are available and for each site the address in this very array. The meaning of the fields are:

\texttt{avail\_sites(proc, field, switch)}

where:

• **proc** – refers to a process in the process list
• the field within the process, but the meaning differs as explained under ‘switch’
• switch – can be either 1 or 2 and switches between (1) the actual numbers of the sites, which are available and filled in from the left but in whatever order they come or (2) the location where the site is stored in (1).

**base/can_do**

Returns true if ‘site’ can do ‘proc’ right now

- **proc** integer representing the requested process.
- **site** integer representing the requested site.
- **can** writeable boolean, where the result will be stored.

**base/deallocate_system**

Deallocate all allocatable arrays: avail_sites, lattice, rates, accum_rates, procstat.

none

**base/del_proc**

del_proc delete one process from the main book-keeping array avail_sites. These book-keeping operations happen in O(1) time with the help of some more book-keeping overhead. avail_sites stores for each process all sites that are available. The array for each process is filled from the left, but sites generally not ordered. With this determine_procsite can effectively pick the next site and process. On the other hand a second array (avail_sites(:,:,2) ) holds for each process and each site, the location where it is stored in avail_site(:,:,1). If a site needs to be removed this subroutine first looks up the location via avail_sites(:,:,1) and replaces it with the site that is stored as the last element for this process.

- **proc** positive integer that states the process
- **site** positive integer that encodes the site to be manipulated

**base/determine_procsite**

Expects two random numbers between 0 and 1 and determines the corresponding process and site from accum_rates and avail_sites. Technically one random number would be sufficient but to circumvent issues with wrong interval_search_real implementation or rounding errors I decided to take two random numbers:

- **ran_proc** Random real number from $\in [0, 1]$ that selects the next process
- **ran_site** Random real number from $\in [0, 1]$ that selects the next site
- **proc** Return integer $\in [1, \text{nr\_of\_proc}]$
- **site** Return integer $\in [1, \text{volume}]$

**base/get_accum_rate**

Return accumulated rate at a given process.

- **proc_nr** integer representing the requested process.
• `return_accum_rate` writeable real, where the requested accumulated rate will be stored.

**base/get_avail_site**

Return field from the avail_sites database

• `proc_nr` integer representing the requested process.
• `field` integer for the site at question
• `switch` 1 or 2 for site or storage location

**base/get_integ_rate**

Return integrated rate at a given process.

• `proc_nr` integer representing the requested process.
• `return_integ_rate` writeable real, where the requested integrated rate will be stored.

**base/get_kmc_step**

Return the current kmc_step

• `kmc_step` Writeable integer

**base/get_kmc_time**

Returns current kmc_time as rdouble real as defined in kind_values.f90.

• `return_kmc_time` writeable real, where the kmc_time will be stored.

**base/get_kmc_time_step**

Returns current kmc_time_step (the time increment).

• `return_kmc_step` writeable integer, where the kmc_time_step will be stored.

**base/get_kmc_volume**

Return the total number of sites.

• `volume` Writeable integer.

**base/get_nrofsites**

Return how many sites are available for a certain process. Usually used for debugging

• `proc` integer representing the requested process
• `return_nrofsites` writeable integer, where nr of sites gets stored
**base/get_procstat**

Return process counter for process proc as integer.
- **proc** integer representing the requested process.
- **return_procstat** writeable integer, where the process counter will be stored.

**base/get_rate**

Return rate of given process.
- **proc_nr** integer representing the requested process.
- **return_rate** writeable real, where the requested rate will be stored.

**base/get_species**

Return the species that occupies site.
- **site** integer representing the site.

**base/get_system_name**

Return the systems name, that was specified with base/allocate_system.
- **system_name** Writeable string of type character(len=200).

**base/get_walltime**

Return the current walltime.
- **return_walltime** writeable real where the walltime will be stored.

**base/increment_procstat**

Increment the process counter for process proc by one.
- **proc** integer representing the process to be increment.

**base/integ_rates**

Stores the time-integrated rates (non-normalized to surface area) Used to determine reaction rates, i.e. average number of reactions per unit surface and time. Let \( a \) the integrated rates, \( c \) be the rate constants, \( n_i \) the number of available sites during kMC-time interval \( i \), \( \{ \Delta t_i \} \) the corresponding timesteps then \( a_i(t) \) at the time \( t = \sum_{i=1} \Delta t_i \) is calculated according to \( a_i(t) = \sum_{i=1} c_i n_i \Delta t_i \).
**base/interval_search_real**

This is basically a standard binary search algorithm that expects an array of ascending real numbers and a scalar real and return the key of the corresponding field, with the following modification:

- the value of the returned field is equal of larger of the given value. This is important because the given value is between 0 and the largest value in the array and otherwise the last field is never selected.
- if two or more values in the array are identical, the function return the index of the leftmost of those field. This is important because having field with identical values means that all field except the leftmost one do not contain any sites. Refer to update_accum_rate to understand why.
- the value of the returned field may no be zero. Therefore the index the to be equal or larger than the first non-zero field.

However: as everyone knows the binary search is trickier than it appears at first site especially real numbers. So intensive testing is suggested here!

- arr: real array of type rsingle (kind_values.f90) in monotonically (not strictly) increasing order
- value: real positive number from [0, max_arr_value]

**base/kmc_step**

Number of kMC steps executed.

**base/kmc_time**

Simulated kMC time in this run in seconds.

**base/kmc_time_step**

The time increment of the current kMC step.

**base/lattice**

Stores the actual physical lattice in a 1d array, where the value on each slot represents the species on that site.

Species constants can be conveniently defined in lattice_. . . and later used directly in the process list.

**base/nr_of_proc**

Total number of available processes.

**base/nr_of_sites**

Stores the number of sites available for each process.
base/procstat

Stores the total number of times each process has been executed during one simulation.

base/rates

Stores the rate constants for each process in s^{-1}.

base/reload_system

Restore state of simulation from *.reload file as saved by save_system(). This function also allocates the system’s memory so calling allocate_system again, will cause a runtime failure.

- system_name string of 200 characters which will make the reload_system look for a file called ./<system_name>.reload
- reloaded logical return variable, that is .true. reload of system could be completed successfully, and .false. otherwise.

base/replace_species

Replaces the species at a given site with new_species, given that old_species is correct, i.e. identical to the site that is already there.

- site integer representing the site
- old_species integer representing the species to be removed
- new_species integer representing the species to be placed

base/reset_site

This function is a higher-level function to reset a site as if it never existed. To achieve this the species is set to null_species and all available processes are stripped from the site via del_proc.

- site integer representing the requested site.
- species integer representing the species that ought to be at the site, for consistency checks

base/save_system

save_system stores the entire system information in a simple ASCII file names <system_name>.reload. All fields except avail_sites are stored in the simple scheme:

variable value

In the case of array variables, multiple values are seperated by one or more spaces, and the record is terminated with a newline. The variable avail_sites is treated slightly differently, since printed on a single line it is almost impossible to interpret from the ASCII files. Instead each process starts a new line, and the first number on the line stands for the process number and the remaining fields, hold the values.

none
**base/set_kmc_time**

Sets current kmc_time as rdouble real as defined in kind_values.f90.

- **new** readable real, that the kmc time will be set to

**base/set_rate_const**

Allows to set the rate constant of the process with the number proc_nr.

- **proc_n** The process number as defined in the corresponding proclist_module.
- **rate** the rate in $s^{-1}$

**base/set_system_name**

Set the systems name. Useful in conjunction with base.save_system to save *.reload files under a different name than the default one.

- **system_name** Readable string of type character(len=200).

**base/start_time**

CPU time spent in simulation at least reload.

**base/system_name**

Unique indentifier of this simulation to be used for restart files. This name should not contain any characters that you don’t want to have in a filename either, i.e. only [A-Za-z0-9-_].

**base/update_accum_rate**

Updates the vector of accum_rates.

none

**base/update_clocks**

Updates walltime, kmc_step and kmc_time.

- **ran_time** Random real number $\in [0, 1]$

**base/update_integ_rate**

Updates the vector of integ_rates.

none
**base/volume**

Total number of sites.

**base/walltime**

Total CPU time spent on this simulation.

**kmos/lattice**

Implements the mappings between the real space lattice and the 1-D lattice, which kmos/base operates on. Furthermore replicates all geometry specific functions of kmos/base in terms of lattice coordinates. Using this module each site can be addressed with 4-tuple \((i, j, k, n)\) where \(i, j, k\) define the unit cell and \(n\) the site within the unit cell.

**lattice/allocate_system**

Allocates system, fills mapping cache, and checks whether mapping is consistent

none

**lattice/calculate_lattice2nr**

Maps all lattice coordinates onto a continuous set of integer \(\in [1, \text{volume}]\)

- **site** integer array of size (4) a lattice coordinate

**lattice/calculate_nr2lattice**

Maps a continuous set of of integers \(\in [1, \text{volume}]\) to a 4-tuple representing a lattice coordinate

- **nr** integer representing the site index

**lattice/deallocate_system**

Deallocates system including mapping cache.

none

**lattice/default_layer**

The layer in which the model is initially in by default (only relevant for multi-lattice models).

**lattice/lattice2nr**

Caching array holding the mapping from index to lattice coordinate: \((x, y, z, n) \rightarrow i\).
**lattice/model_dimension**

Store the number of dimensions of this model: 1, 2, or 3

**lattice/nr2lattice**

Caching array holding the mapping from index to lattice coordinate: \( i \rightarrow (x, y, z, n) \).

**lattice/nr_of_layers**

Constant storing the number of layers (for multi-lattice models > 1)

**lattice/site_positions**

The positions of (adsorption) site in the unit cell in fractional coordinates.

**lattice/spuck**

\[ \text{spuck} = \text{Sites Per Unit Cell} = \text{Konstant} \] The number of sites per unit cell, i.e. for coordinate notation \((x, y, n)\) this is the maximum value of \(n\).

**lattice/system_size**

Stores the current size of the allocated system lattice \((x, y, z)\) in an integer array. In low-dimensional system, corresponding entries will be set to 1. Note that this should be thought of as a read-only variable. Changing its value at model runtime will not the indented effect of actually changing the simulated lattice. The definitive location for custom lattice size is \(\text{simulation\_size} \) in \(\text{kmc\_settings.py}\).

If the system size shall be changed programmatically, it needs to happen before the \(\text{KMC\_Model}\) is instantiated and Fortran array are allocated accordingly, like to

```python
#!/usr/bin/env python
import kmc_settings import kmos.run
kmc_settings.simulation_size = 9, 9, 4

with kmos.run.KMC_Model() as model: print(model.lattice.system_size))
```

**lattice/unit_cell_size**

The dimensions of the unit cell (e.g. in Angstrom) of the unit cell.

**proclist/do_kmc_step**

Performs exactly one kMC step. * first update clock * then configuration sampling step * last execute process

none
proclist/do_kmc_steps

Performs \(n\) kMC step. If one has to run many steps without evaluation do_kmc_steps might perform a little better. * first update clock * then configuration sampling step * last execute process

\(n\) : Number of steps to run

proclist/get_kmc_step

Determines next step without executing it.

none

proclist/get_occupation

Evaluate current lattice configuration and returns the normalized occupation as matrix. Different species run along the first axis and different sites run along the second.

none

proclist/init

Allocates the system and initializes all sites in the given layer.

- `input_system_size` number of unit cell per axis.
- `system_name` identifier for reload file.
- `layer` initial layer.
- `no_banner` [optional] if True no copyright is issued.

proclist/initialize_state

Initialize all sites and book-keeping array for the given layer.

- `layer` integer representing layer

3.6.3 otf

kmos/base

The base kMC module, which implements the kMC method on a \(d = 1\) lattice. Virtually any lattice kMC model can be build on top of this. The methods offered are:

- de/allocation of memory
- book-keeping of the lattice configuration and all available processes
- updating and tracking kMC time, kMC step and wall time
- saving and reloading the current state
- determine the process and site to be executed
base/accum_rates

Stores the accumulated rate constant up to a given process number taking into account all sites in which it is possible. ###

base/accum_rates_proc

Used to store the accumulated rate associated to each process ###

base/add_proc

The main idea of this subroutine is described in del_proc. Adding one process to one capability is programmatically simpler since we can just add it to the end of the respective array in avail_sites.

• proc positive integer number that represents the process to be added.
• site positive integer number that represents the site to be manipulated

base/allocate_system

Allocates all book-keeping structures and stores local copies of system name and size(s):

• system_name identifier of this simulation, used as name of punch file
• volume the total number of sites
• nr_of_proc the total number of processes

base/assertion_fail

Function that shall be used by all parts of the program to print a proper message in case some assertion fails.

• a condition that is supposed to hold true
• r message that is printed to the poor user in case it fails

base/avail_sites

Main book-keeping array that stores for each process the sites that are available and for each site the address in this very array. The meaning of the fields are:

    avail_sites(proc, field, switch)

where:

• proc – refers to a process in the process list
• the field within the process, but the meaning differs as explained under ‘switch’
• switch – can be either 1 or 2 and switches between (1) the actual numbers of the sites, which are available and filled in from the left but in whatever order they come or (2) the location where the site is stored in (1).
base/can_do

Returns true if 'site' can do 'proc' right now

- **proc** integer representing the requested process.
- **site** integer representing the requested site.
- **can** writeable boolean, where the result will be stored.

base/deallocate_system

Deallocate all allocatable arrays: avail_sites, lattice, rates, accum_rates, procstat.

none

base/del_proc

del_proc delete one process from the main book-keeping array avail_sites. These book-keeping operations happen in O(1) time with the help of some more book-keeping overhead. avail_sites stores for each process all sites that are available. The array for each process is filled from the left, but sites generally not ordered. With this determine_procsite can effectively pick the next site and process. On the other hand a second array (avail_sites(:,,:,2)) holds for each process and each site, the location where it is stored in avail_site(:,,:,1). If a site needs to be removed this subroutine first looks up the location via avail_sites(:,,:,1) and replaces it with the site that is stored as the last element for this process.

- **proc** positive integer that states the process
- **site** positive integer that encodes the site to be manipulated

base/determine_procsite

Expects two random numbers between 0 and 1 and determines the corresponding process and site from accum_rates and avail_sites. Technically one random number would be sufficient but to circumvent issues with wrong interval_search_real implementation or rounding errors I decided to take two random numbers:

- **ran_proc** Random real number from \( \in [0, 1] \) that selects the next process
- **ran_site** Random real number from \( \in [0, 1] \) that selects the next site
- **proc** Return integer \( \in [1, nr \_of \_proc] \)
- **site** Return integer \( \in [1, volume] \)

base/get_accum_rate

Return accumulated rate at a given process.

- **proc_nr** integer representing the requested process.
- **return_accum_rate** writeable real, where the requested accumulated rate will be stored.
base/get_avail_site

Return field from the avail_sites database
- proc_nr integer representing the requested process.
- field integer for the site at question
- switch 1 or 2 for site or storage location

base/get_integ_rate

Return integrated rate at a given process.
- proc_nr integer representing the requested process.
- return_integ_rate writeable real, where the requested integrated rate will be stored.

base/get_kmc_step

Return the current kmc_step
- kmc_step Writeable integer

base/get_kmc_time

Returns current kmc_time as rdouble real as defined in kind_values.f90.
- return_kmc_time writeable real, where the kmc_time will be stored.

base/get_kmc_time_step

Returns current kmc_time_step (the time increment).
- return_kmc_step writeable integer, where the kmc_time_step will be stored.

base/get_kmc_volume

Return the total number of sites.
- volume Writeable integer.

base/get_nrofsites

Return how many sites are available for a certain process. Usually used for debugging
- proc integer representing the requested process
- return_nrofsites writeable integer, where nr of sites gets stored
base/get_procstat

Return process counter for process proc as integer.

- proc integer representing the requested process.
- return_procstat writeable integer, where the process counter will be stored.

base/get_rate

Return rate of given process.

- proc_nr integer representing the requested process.
- return_rate writeable real, where the requested rate will be stored.

base/get_species

Return the species that occupies site.

- site integer representing the site

base/get_system_name

Return the systems name, that was specified with base.allocate_system

- system_name Writeable string of type character(len=200).

base/get_walltime

Return the current walltime.

- return_walltime writeable real where the walltime will be stored.

base/increment_procstat

Increment the process counter for process proc by one.

- proc integer representing the process to be increment.

base/integ_rates

Stores the time-integrated rates (non-normalized to surface area) Used to determine reaction rates, i.e. average number of reactions per unit surface and time. Let \( a \) the integrated rates, \( c \) be the rate constants, \( \mathbf{n}_i \) the number of available sites during kMC-time interval \( i \), \( \{ \Delta t_i \} \) the corresponding timesteps then \( a_i(t) \) at the time \( t = \sum_{i=1} \Delta t_i \) is calculated according to \( a_i(t) = \sum_{i=1} c_i \mathbf{n}_i \Delta t_i \).
This is basically a standard binary search algorithm that expects an array of ascending real numbers and a scalar real and return the key of the corresponding field, with the following modification:

- the value of the returned field is equal or larger than given value. This is important because the given value is between 0 and the largest value in the array and otherwise the last field is never selected.
- if two or more values in the array are identical, the function return the index of the leftmost of those field. This is important because having field with identical values means that all field except the leftmost one do not contain any sites. Refer to update_accum_rate to understand why.
- the value of the returned field may not be zero. Therefore the index the to be equal or larger than the first non-zero field.

However: as everyone knows the binary search is trickier than it appears at first sight especially real numbers. So intensive testing is suggested here!

- arr real array of type rsingle (kind_values.f90) in monotonically (not strictly) increasing order
- value real positive number from [0, max_arr_value]

**base/kmc_step**

Number of kMC steps executed.

**base/kmc_time**

Simulated kMC time in this run in seconds.

**base/kmc_time_step**

The time increment of the current kMC step.

**base/lattice**

Stores the actual physical lattice in a 1d array, where the value on each slot represents the species on that site.

Species constants can be conveniently defined in lattice_... and later used directly in the process list.

**base/nr_of_proc**

Total number of available processes.

**base/nr_of_sites**

Stores the number of sites available for each process.
base/procstat

Stores the total number of times each process has been executed during one simulation.

base/rates

Stores the rate constants for each currently possible process ordered as avail_sites(:,:,1).

base/rates

Stores the rate constants for each process in s^-1.

base/reaccumulation_rates_matrix

Performs a process wide reaccumulation of the values in the rates_matrix. To be used when some of the user parameters are updated. Expected to alleviate some of the problems arising from floating point errors.

base/reload_system

Restore state of simulation from *.reload file as saved by save_system(). This function also allocates the system’s memory so calling allocate_system again, will cause a runtime failure.

- \texttt{system\_name} string of 200 characters which will make the reload_system look for a file called \texttt{/<system\_name>.reload}
- \texttt{reloaded} logical return variable, that is .true. if load of system could be completed successfully, and .false. otherwise.

base/replace_species

Replaces the species at a given site with new_species, given that old_species is correct, i.e. identical to the site that is already there.

- \texttt{site} integer representing the site
- \texttt{old\_species} integer representing the species to be removed
- \texttt{new\_species} integer representing the species to be placed

base/reset_site

This function is a higher-level function to reset a site as if it never existed. To achieve this the species is set to null_species and all available processes are stripped from the site via del_proc.

- \texttt{site} integer representing the requested site.
- \texttt{species} integer representing the species that ought to be at the site, for consistency checks
**base/save_system**

save_system stores the entire system information in a simple ASCII field names <system_name>.reload. All fields except avail_sites are stored in the simple scheme:

```
variable value
```

In the case of array variables, multiple values are separated by one or more spaces, and the record is terminated with a newline. The variable avail_sites is treated slightly differently, since printed on a single line it is almost impossible to interpret from the ASCII files. Instead each process starts a new line, and the first number on the line stands for the process number and the remaining fields, hold the values.

```
```

**base/set_kmc_time**

Sets current kmc_time as rdouble real as defined in kind_values.f90.

- new readable real, that the kmc time will be set to

**base/set_rate_const**

Allows to set the rate constant of the process with the number proc_nr.

- proc_n The process number as defined in the corresponding proclist_ module.
- rate the rate in s\(^{-1}\)

**base/set_system_name**

Set the systems name. Useful in conjunction with base.save_system to save *.reload files under a different name than the default one.

- system_name Readable string of type character(len=200).

**base/start_time**

CPU time spent in simulation at least reload.

**base/system_name**

Unique identifier of this simulation to be used for restart files. This name should not contain any characters that you don’t want to have in a filename either, i.e. only [A-Za-z0-9-_].

**base/update_accum_rate**

Updates the vector of accum_rates.

none
**base/update_clocks**

Updates walltime, kmc_step and kmc_time.

- **ran_time** Random real number $\in [0, 1]$

**base/update_integ_rate**

Updates the vector of integ_rates.

none

**base/update_rates_matrix**

Updates the rates_matrix. To be used when the state of a bystander has been modified

- **proc** positive integer number that represents the process whose rate is changed.
- **site** positive integer number that represents the site for the process
- **rate** positive real number that represents the updated rate

**base/volume**

Total number of sites.

**base/walltime**

Total CPU time spent on this simulation.

**kmos/lattice**

Implements the mappings between the real space lattice and the 1-D lattice, which kmos/base operates on. Furthermore replicates all geometry specific functions of kmos/base in terms of lattice coordinates. Using this module each site can be addressed with 4-tuple $(i, j, k, n)$ where $i$, $j$, $k$ define the unit cell and $n$ the site within the unit cell.

**lattice/allocate_system**

Allocates system, fills mapping cache, and checks whether mapping is consistent

none

**lattice/calculate_lattice2nr**

Maps all lattice coordinates onto a continuous set of integer $\in [1, volume]$

- **site** integer array of size (4) a lattice coordinate
**lattice/calculate_nr2lattice**

Maps a continuous set of integers \( \in [1, \text{volume}] \) to a 4-tuple representing a lattice coordinate

- \( nr \) integer representing the site index

**lattice/deallocate_system**

Deallocates system including mapping cache.

`none`

**lattice/default_layer**

The layer in which the model is initially in by default (only relevant for multi-lattice models).

**lattice/lattice2nr**

Caching array holding the mapping from index to lattice coordinate: \((x, y, z, n) \rightarrow i\).

**lattice/model_dimension**

Store the number of dimensions of this model: 1, 2, or 3

**lattice/nr2lattice**

Caching array holding the mapping from index to lattice coordinate: \(i \rightarrow (x, y, z, n)\).

**lattice/nr_of_layers**

Constant storing the number of layers (for multi-lattice models > 1)

**lattice/site_positions**

The positions of (adsorption) site in the unit cell in fractional coordinates.

**lattice/spuck**

\( \text{spuck} = \text{Sites Per Unit Cell Konstant} \) The number of sites per unit cell, i.e. for coordinate notation \((x, y, n)\) this is the maximum value of \(n\).
lattice/system_size

Stores the current size of the allocated system lattice (x, y, z) in an integer array. In low-dimensional system, corresponding entries will be set to 1. Note that this should be thought of as a read-only variable. Changing its value at model runtime will not the indented effect of actually changing the simulated lattice. The definitive location for custom lattice size is `simulation_size` in `kmc_settings.py`. If the system size shall be changed programmatically, it needs to happen before the `KMC_Model` is instantiated and Fortran array are allocated accordingly, like to

```python
#!/usr/bin/env python
import kmc_settings import kmos.run

kmc_settings.simulation_size = 9, 9, 4

with kmos.run.KMC_Model() as model: print(model.lattice.system_size))
```

lattice/unit_cell_size

The dimensions of the unit cell (e.g. in Angstrom) of the unit cell.

proclist/do_kmc_step

Performs exactly one kMC step. * first update clock * then configuration sampling step * last execute process

none

proclist/do_kmc_steps

Performs \( n \) kMC step. If one has to run many steps without evaluation do_kmc_steps might perform a little better. * first update clock * then configuration sampling step * last execute process

\( n \): Number of steps to run

proclist/get_kmc_step

Determines next step without executing it.

none

proclist/get_occupation

Evaluate current lattice configuration and returns the normalized occupation as matrix. Different species run along the first axis and different sites run along the second.

none
proclist/init

Allocates the system and initializes all sites in the given layer.

- **input_system_size** number of unit cell per axis.
- **system_name** identifier for reload file.
- **layer** initial layer.
- **no_banner** [optional] if True no copyright is issued.

proclist/initialize_state

Initialize all sites and book-keeping array for the given layer.

- **layer** integer representing layer

proclist/run_proc_nr

Runs process **proc** on site **nr_site**.

- **proc** integer representing the process number
- **nr_site** integer representing the site
CHAPTER 4

Trouble Shooting

I found a bug or have a feature request. How can I get in touch? Please post issues here or via email mjhoffmann.at.gmail.dot.com or via twitter @maxjhoffmann.

My rate constant expression doesn’t work. How can I debug it? When initializing the model, the backend uses kmos.evaluate_rate_expression. So you can try

```
from kmos import evaluate_rate_expression
evaluate_rate_expression('<your-string-here>', parameters={})
```

where parameters is a dictionary defining the variable that are defined in the context of the expression evaluation, like so

```
parameters = {'T': {'value': 500},
              'p_NClgas': {'value': 1},
             }
```

Test only parts of your expression to localize the error. Typical mistakes are syntax errors (e.g. unclosed parentheses) and forgotten conversion factors (e.g. eV) which can easily lead to overflow if written in the exponent.

How can I print the chemical potential value, that kmos is using internally? You can then print the explicit value for specific conditions in kmos shell, for example like so

```
from kmos import evaluate_rate_expression
print(evaluate_rate_expression('mu_COgas',
                             {'T': {'value': 600},
                              'p_COgas': {'value': 1}
                             }))
```

where ‘CO’ should be replaced by whatever gas species you are inspecting. And the resulting number is given in eV. kmos linearly interpolates the gas phase chemical potential from the NIST JANAF thermochemical tables if you have downloaded them manually. If you don’t have them installed, an error message should get raised which explains how to do so.
When I use `kmos shell` the model doesn’t have the species and sites I have defined. Note that Fortran is case-insensitive. Therefore `f2py` turns all variable and functions names into lower case by convention. Try to lowercase your species or site name.

When I run kmos the GUI way and close it, it seems to hang and I need to use the window manager to kill it. This is a bug waiting to be fixed. To avoid it close the window showing the atoms object by clicking on its close button or Alt-F4 or whichever shortcut your WM uses.

Running a model it sometimes prints **Warning: numerical precision too low, to resolve time-steps** This means that the kMC step of the current process was so small compared to the current kMC time that for the processor $t + \Delta t = t$. This should under normal circumstances only occur if you changed external conditions during a kMC run.

Otherwise it could mean that your rate constants vary over 12 or more orders of magnitude. If this is the case one needs to wonder whether non-coarse graind kMC is actually the right approach for the system. On the hand because the selection of the next process will no longer be reliable and second because reasonable sampling of all involved process may no longer happen.

When running a model without GUI evaluation steps seem very slow. If you have a `kmos.run.KMC_Model` instance and call `model.get_atoms()` the generation of the real-space geometry takes the longest time. If you only have to evaluate coverages or turn-over frequencies you are better off using `model.get_atoms(geometry=False)`, which returns an object with all numbers but without the actual geometry.

What units is kmos using? By default length are measured in angstrom, energies in eV, pressure in bar, constants are taken from CODATA 2010. Note that the rate expressions though contain explicit conversion factors like `bar, eV` etc. If in doubt check the resulting rate constants by hand.

How can I change the occupation of a model at runtime? This is explained in detail at manipulate_model_runtime though the import bit is that you call

```python
model._adjust_database()
```

after changing the occupation and before doing the next kMC step.

How can I quickly obtain `k_tot`?

That is ::

```python
model.base.get_accum_rate(model.proclist.nr_of_proc)
```

How can I check the system size?

You can check ::

```python
model.lattice.system_size
```

to get the number of unit cell in the x, y, and z direction. The number of sites per unit cell is stored in

```python
model.lattice.spuck
```

a.k.a Sites Per Unit Cell Konstant :-). Or you check

```python
model.base.get_volume()
```

**to get the total number of sites, i.e.** ::

```python
model.base.get_volume() == model.lattice.system_size.prod()*model.lattice.spuck
```

=> True

More to follow.

**Todo:** Explain `post-mortem` procedure
CHAPTER 5

Frequently Asked Questions

What other kMC codes are there?  Kinetic Monte Carlo codes that I am currently aware of, that are in some form released on the intertubes are with no claim of completeness:

- akmc (G. Henkelman)
- Carlos (J. Lukkien)
- chimp (D. Dooling)
- KMCLib (M. Leetma)
- Graph Theoretical KMC Code (D. Vlachos)
- Monty (SXM Boerrigter)
- MoCKa (L. Kunz)
- NASCAM (S. Lucas)
- Spparks (S. Plimpton)
- Zacros (M. Stamatakis)

Though The Google might find you some more. Please drop me a line if you find any information inaccurate.

What does kmos stand for anyways?  Good question, initially kmos was supposed to stand for kinetic modeling on steroids because it feels really fast to model with kmos. But that confused people too much since we are not modelling reactions on steroids but on surfaces :-). Some other popular variants are

- kMC Modeling Of Surfaces
- kMC modeling offering source
- kMC models on screen

I am open for suggestions.

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